

# Practical aspects in experimental QKD implementations

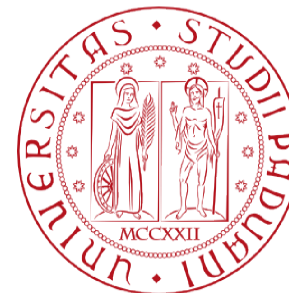
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Phd Summer school  
Quantum Communication & Space

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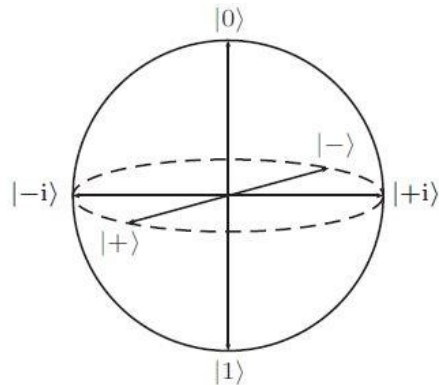
UNIVERSITÀ  
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# Time-bin transmitters

There are several ways to **implement** the **qubits** in a **photonic** system.

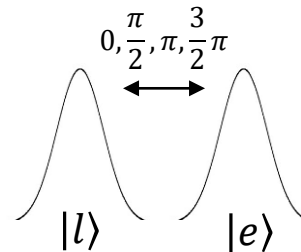
## Polarization



Key basis  $|0\rangle = |H\rangle, |1\rangle = |V\rangle$

Check basis  $|\pm\rangle = \frac{1}{\sqrt{2}}(|H\rangle \pm |V\rangle)$ ,

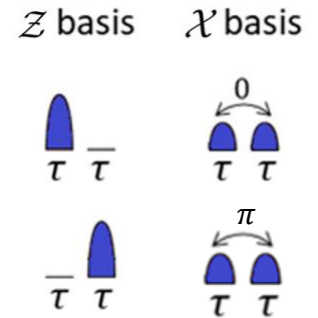
## Phase-encoding



Key basis:  $|0\rangle = \frac{1}{2}(|e\rangle + |l\rangle)$ ,  
 $|1\rangle = \frac{1}{2}(|e\rangle - |l\rangle)$

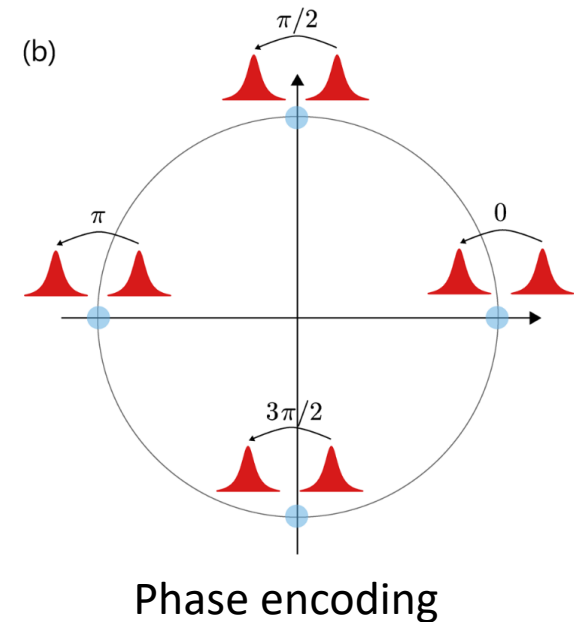
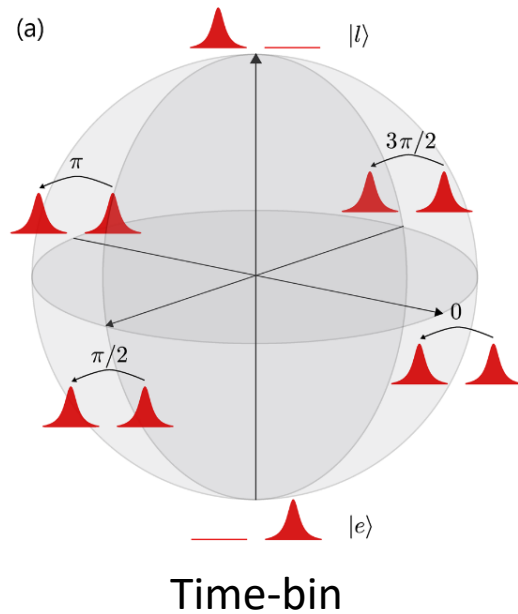
Check basis:  $|+\rangle = \frac{1}{2}(|e\rangle + i|l\rangle)$ ,  
 $|-\rangle = \frac{1}{2}(|e\rangle - i|l\rangle)$

## Time-bin encoding



Key basis:  $|0\rangle = |e\rangle, |1\rangle = |l\rangle$   
 Check basis:  $|+\rangle = \frac{1}{2}(|e\rangle + |l\rangle)$ ,  $|-\rangle = \frac{1}{2}(|e\rangle - |l\rangle)$

Another way to look at the phase or time-bin encoding on the Bloch sphere



## PRO:

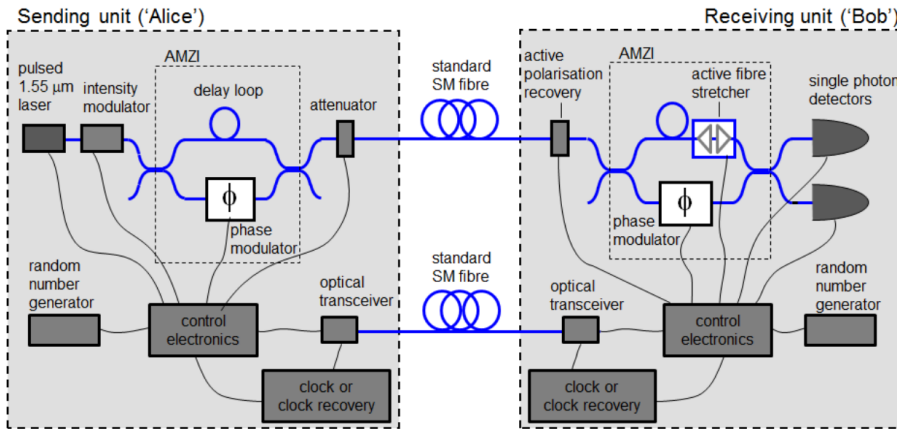
- Insensitive to polarization change of fibers
- Easy to implement with fast all-fiber components

## CON:

- Effective half-repetition rate due to time delay between time-bin
- Hard to get low QBER due to stabilization of interferometers
- Harder to realize in free-space

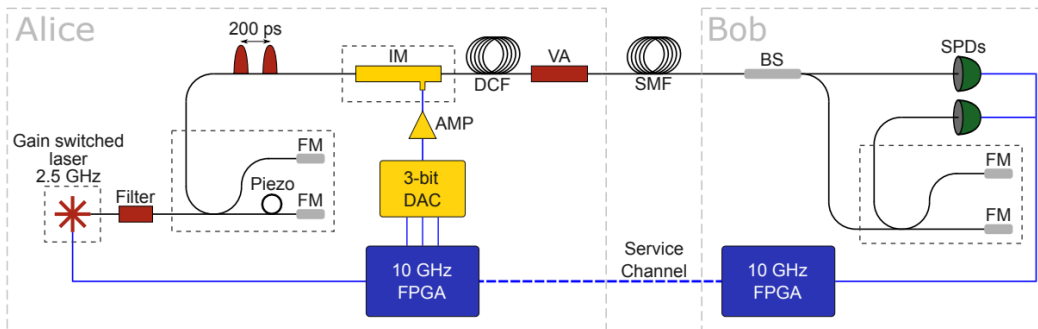
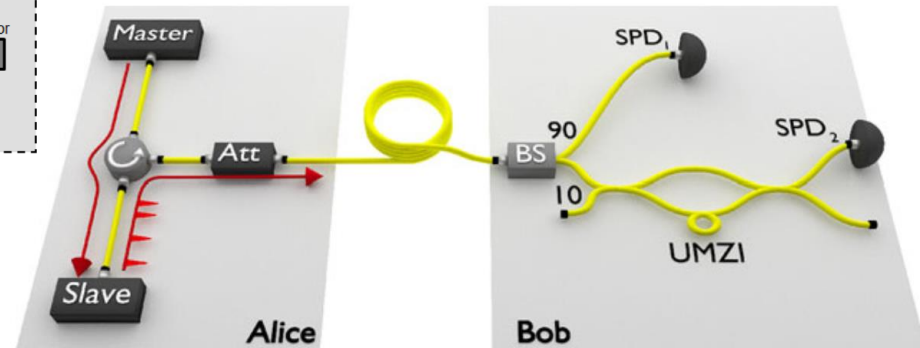
# Implementation of time-bin/phase BB84

There are several ways to implement the QKD protocol exploiting temporal DOF



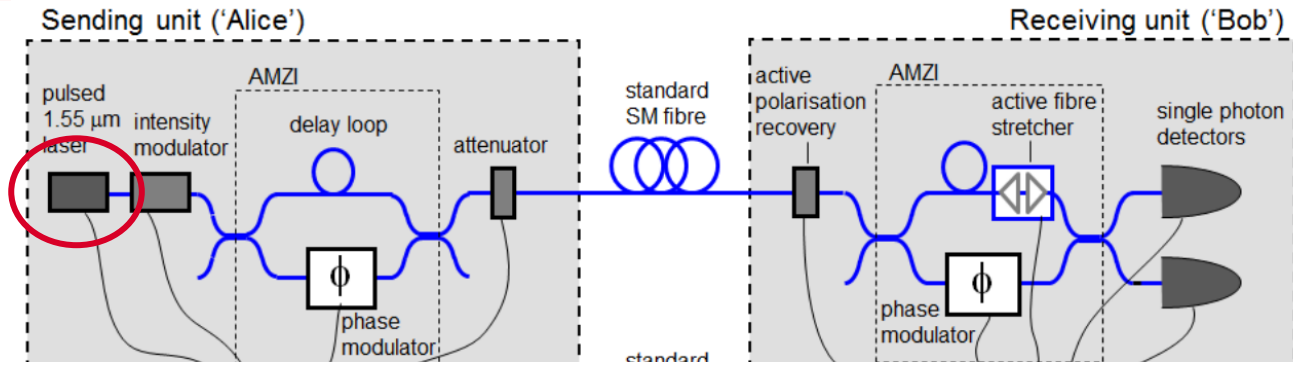
Time-bin encoding (modulator free)

Phase encoding



Time-bin encoding

# Different components: the Laser



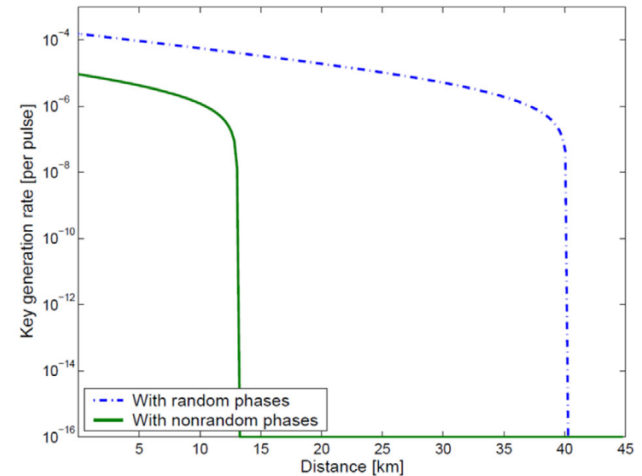
It is **hard** to generate true single photons, so **WCP** with **decoy** states are used to avoid PNS attack

However, the decoy analysis assume that the WCP have all uniform and random phases. But coherent states have such distribution:

$$|\alpha\rangle = e^{-\mu/2} \sum_{n=0}^{\infty} \frac{(\sqrt{\mu}e^{i\theta})^n}{\sqrt{n!}} |n\rangle$$

Instead for uniform phase-randomized WCP:

$$\rho_{\mu} = \int_0^{2\pi} \frac{d\theta}{2\pi} |\alpha\rangle\langle\alpha| = e^{-\mu} \sum_{n=0}^{\infty} \frac{\mu^n}{n!} |n\rangle\langle n|$$



**Huge impact on the SKR!**

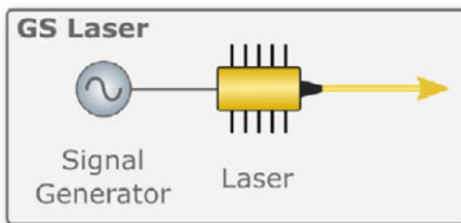
# Different components: the Laser



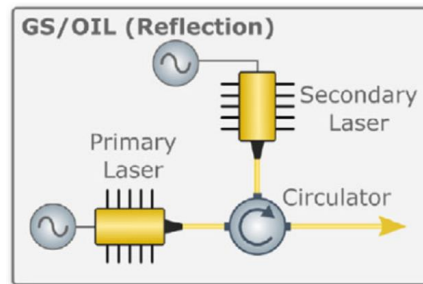
Let's recall the properties that we want for our laser pulses in a QKD setup:

1. **Short optical pulses** ( the shorter the pulse the shorter the temporal filter, the higher is the SNR. Too short can be challenging for interference )
2. **No chirp** in the pulse
3. **Narrow spectral linewidth** ( we can use narrower filters to increase the SNR )
4. **Identical power** output between rounds ( no correlations between rounds )
5. **Low temporal jitter** in the emission
6. **No phase correlations**

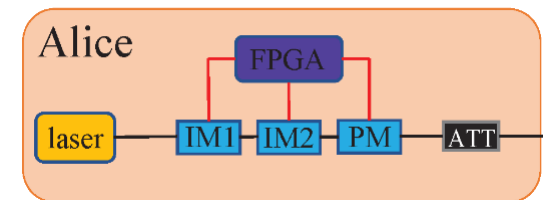
Several ways to generate the PR-WCP, each with pro and cons:



Gain-switch



Optical Injection Locking

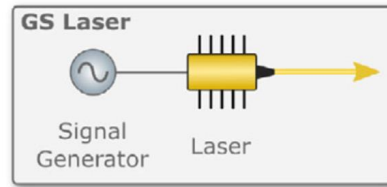


Active phase rand

# Gain switching:

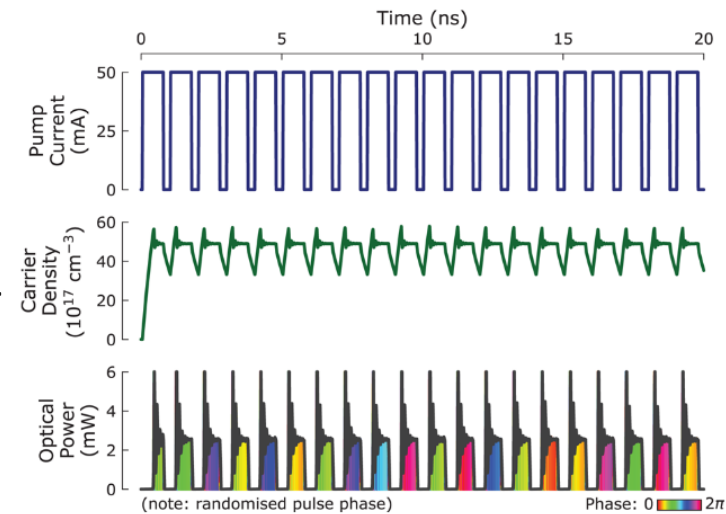
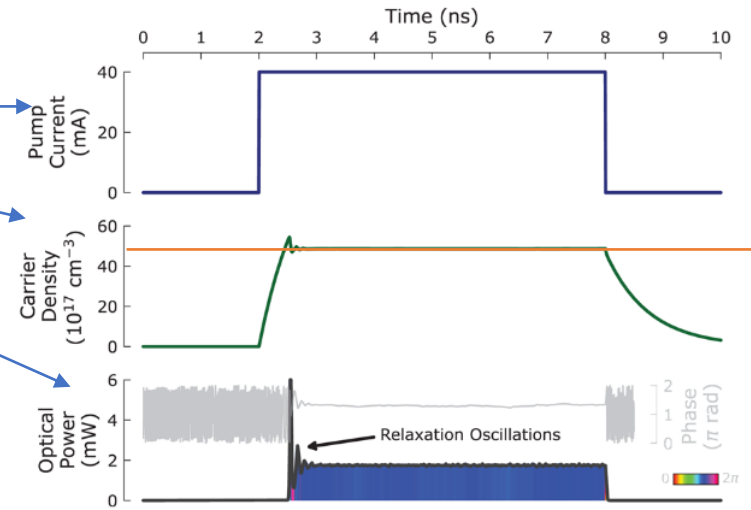
## A simple and effective method is gain-switching:

1. Send short RF signals to the Lasers
2. Carrier density raises until lasing is obtained
3. Fast overshoot, can be used to generate very short pulses (10ps), then relaxation oscillations, and steady-state
4. If enough time to dump the cavity from photons, subsequent pulses have random phases (only vac in cavity)



## Technical caveats:

1. Sometimes the amplitude of the RF signal is not sufficient for lasing. Need to add bias. Shift of first curve
2. If bias or frequency too high can destroy phase randomization
3. Non-periodic driving can add intensity correlations





# Gain switching:

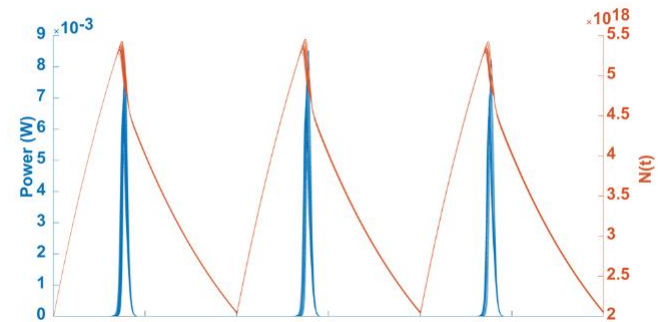
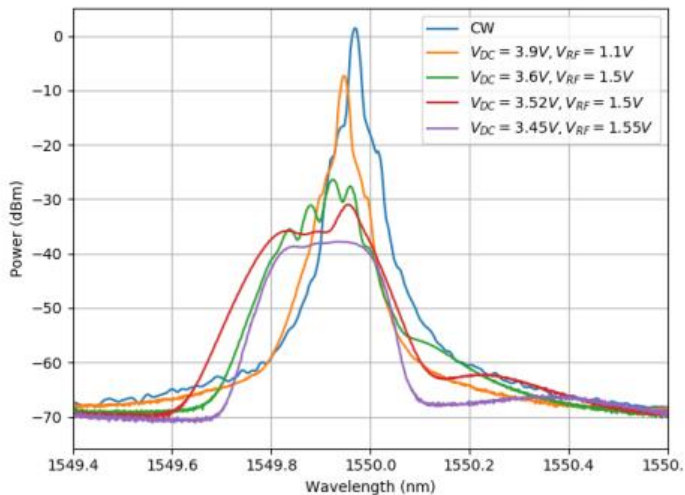
The approach has several pros and cons:

## PRO:

1. Simple experimental setup. Just 1 laser and driving electronics
2. Very short optical pulses if only GS part is taken
3. Uniform phase randomization can be achieved
4. High repetition-rate: >5GHz

## CON:

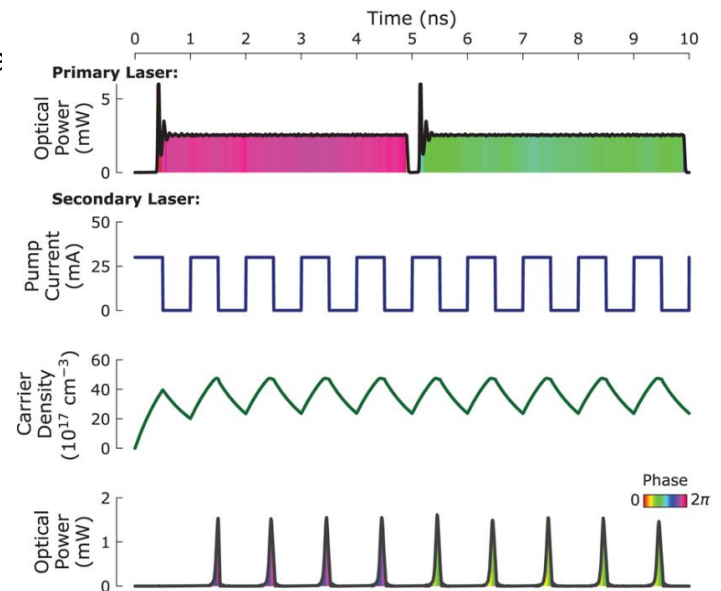
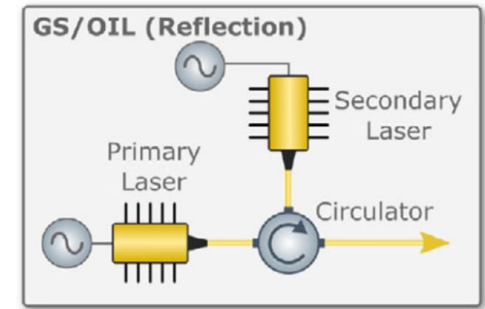
1. Driving of the laser at high speed can be challenging
2. Spectral broadening of the GS
3. Gain-switched pulse is chirped
4. If repetition rate > carrier decay, no or partial phase randomization
5. Carrier fluctuations induce time-jitter in temporal output



Another approach exploits OIL to mitigate some of the problems of GS

1. Two lasers: a master and a slave laser
2. The master laser is GS with long electrical (and so also optical) pulses to get phase randomization
3. The light is injected in the slave laser with a wavelength resonant with the cavity of the slave
4. The slave laser is GS with seed light from the master in the cavity and with short pump
5. A circulator is used to get the reflected light out

When the slave laser is Gain-Switched the stimulated emission is seeded by the light from the master laser and the slave pulse “inherits” the phase of the master laser



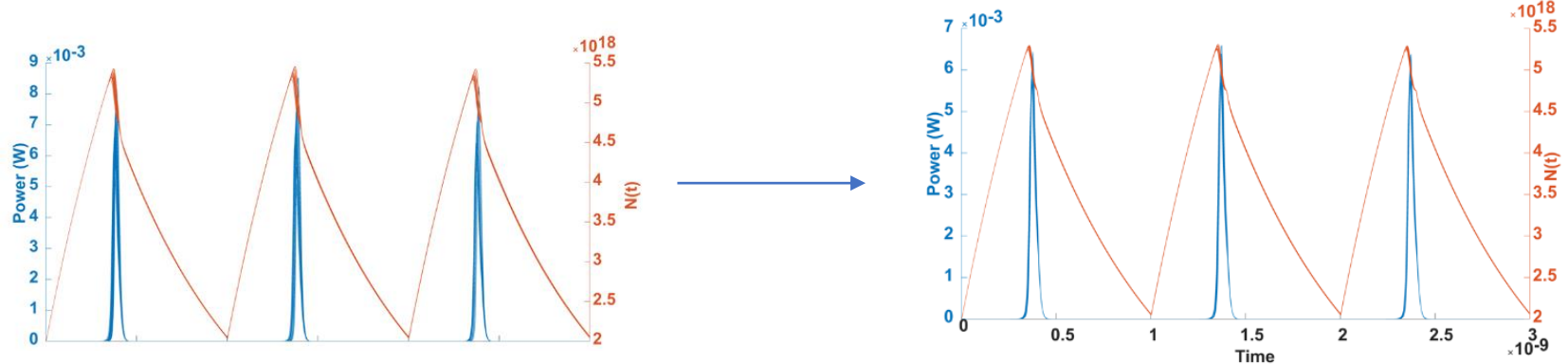
The approach has several pros and cons:

## PRO:

1. Reduced linewidth broadening thanks to seeding
2. Reduced temporal jitter of the output pulse
3. Increased relaxation oscillation frequency, more stable pulses
4. More stable power output

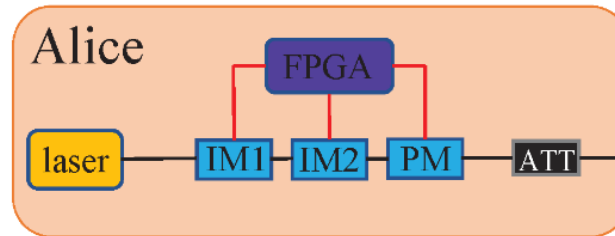
## CON:

1. More complex and expensive solution
2. Requires tuning and lock of the wavelength of the two lasers ( can be challenging in case of very narrow lasers )
3. Have to control the optical power injected
4. More sensible to temperature fluctuations



## Finally, the last method is to use an active modulation

1. A CW laser is operated in continuous mode
2. One or more Intensity modulators are used to chop the CW light into pulses
3. An inline phase modulator is used to add random phases to each pulse



### PRO:

1. Smallest linewidth ( can get to Fourier limit )
2. Only one laser

### CON:

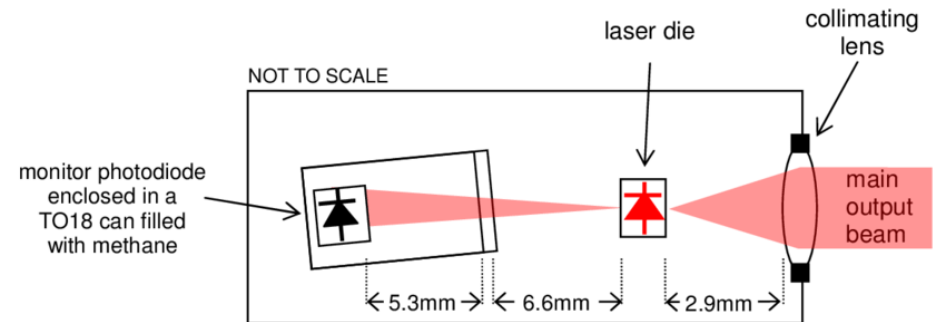
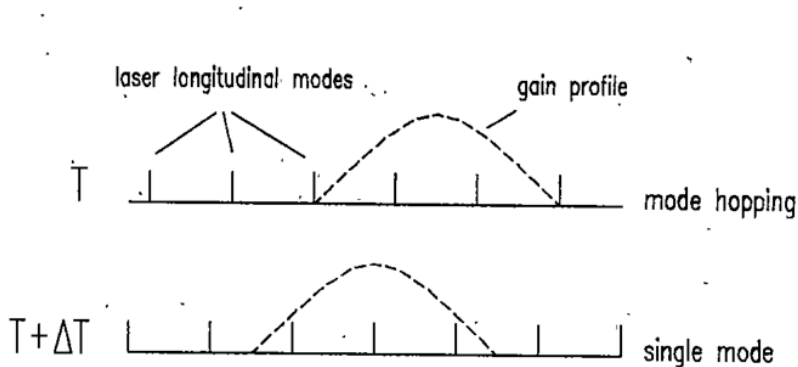
1. Requires more modulators
2. Requires active choice and randomness
3. Need to stabilize the phase and IM modulators
4. Can be correlations due to finite-bandwidth
5. Can be fluctuations due to temperature or electrical pulse imprecision

# Other important facts about the lasers

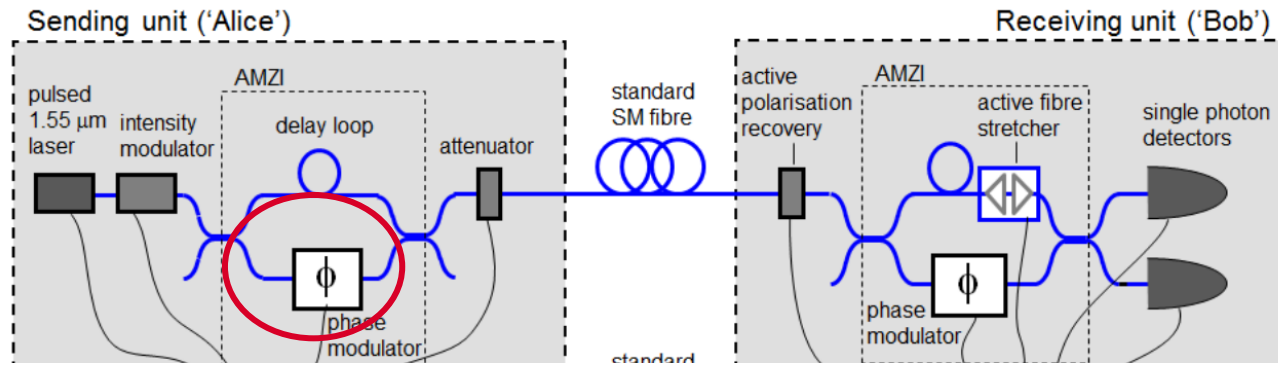


When operating a laser in a QKD system there are other important effects to keep in mind:

- **Temperature and current tuning:** by changing the temperature of a laser, the cavity is changed and so also the wavelength. Typical values for 1550nm lasers are 0,1nm/cm. Similarly increasing the current also shifts the wavelength. Temperature is usually stabilized with TEC. This is necessary at high power to dissipate heat.
- **Mode hopping:** if the gain profile of the laser matches two competing modes of the cavity with almost the same gain, the laser can start “hopping” between the two modes. This can increase power fluctuations and induce beatings
- **Aging:** with time the power of semiconductor lasers is reduced. Usually on DFB a photodiode is included for compensation



# Phase modulator



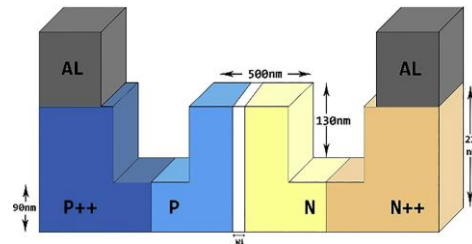
A fundamental component for almost every QKD setup is the phase modulator

As the name says, it allows to change the phase of the light passing through. We will analyze two ways to modulate the phase

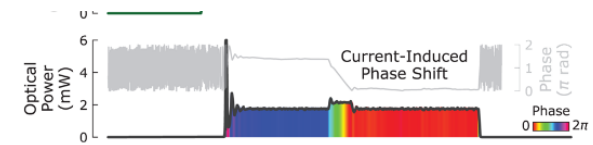
Several approaches: we will analyze



Lithium-niobate phase modulators



Carrier injection/depletion modulators



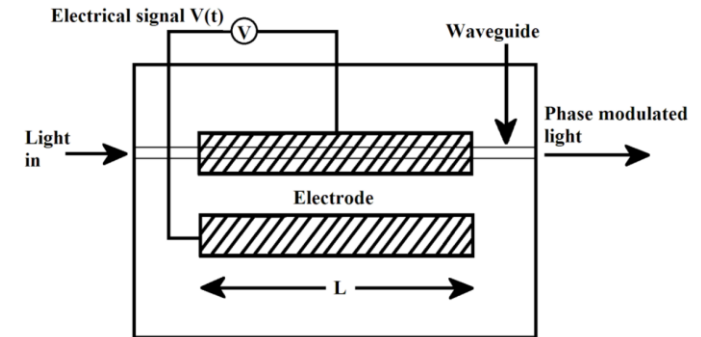
Direct laser modulation

# Electro-optic Phase modulator

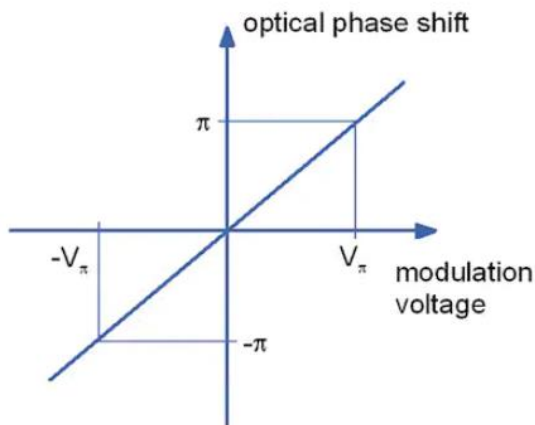
The electro-optic phase modulator is a device based on a nonlinear optical material that has a large  $\chi^2$  coefficient. Typical materials are LiNbO<sub>3</sub>, ppKTP.

For such materials we have the Pockels effect, where the refractive index depends on the applied electric field

$$n(E) \approx n + \underbrace{\frac{2}{\epsilon_0 n} \chi^2 E}_{\Delta n(E)}$$



For a beam of light travelling in the waveguide the difference in refractive index implies a difference in the time for the propagation of the light, which is a difference in the phase.



$$\Delta t(E) = \frac{\Delta n(E) L}{c}$$

$$\Delta \phi(E) = \omega \Delta t(E)$$

Linear around the 0

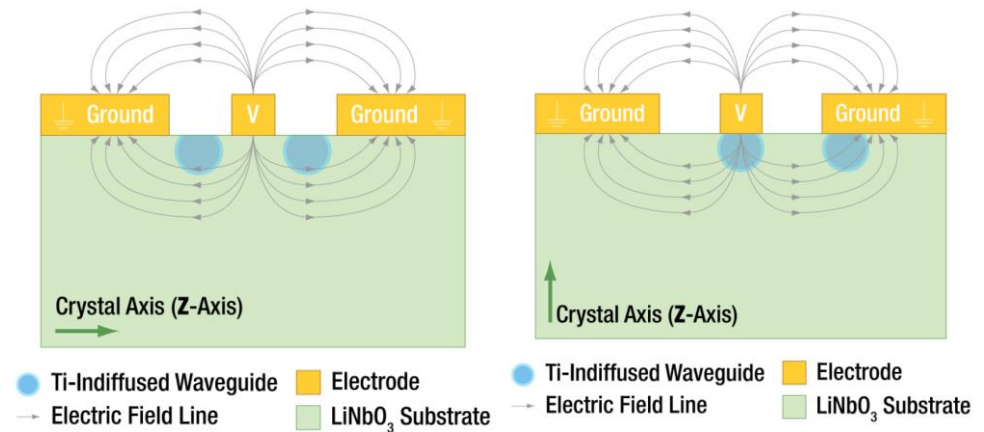
# Working with phase modulators

**Polarization support:** fiber LN phase modulator come in two types: Z-cut or X-cut.

The name refers to the orientation of the waveguides with respect to the crystal axis.

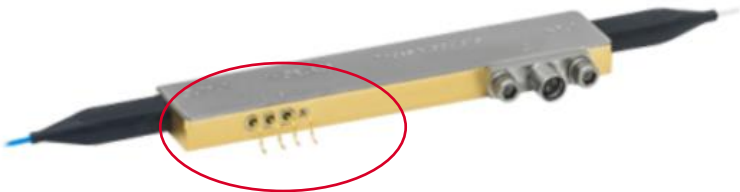
**X-cut:** have symmetric electrodes, higher  $V_{\pi}$ , no chirp and support only one polarization

**Z-cut:** have asymmetric electrodes, lower  $V_{\pi}$ , chirp and support both polarizations with different modulation efficiencies



For a light that is  $\frac{1}{\sqrt{2}} (|H\rangle + |V\rangle)$ , for a modulation with V we get  $\frac{1}{\sqrt{2}} (e^{i\Delta\phi_o(V)} |H\rangle + e^{i\Delta\phi_e(V)} |V\rangle)$

**Stability:** the refractive index in the LN does not only depend on external electric fields but also by temperature, and mechanical stresses and input RF power. Fiber phase modulators usually add an additional  $\Delta\phi(t)$  due to these effects. They require external feedback loop to stabilize.





# Driving phase modulators

**Travelling wave modulators:** the electrodes placed on high-speed phase modulators are made of strip transmission lines. The RF modulation signal is injected at one end, propagates along the same direction as the optical wave, and terminates at the end on a 50 ohm termination.

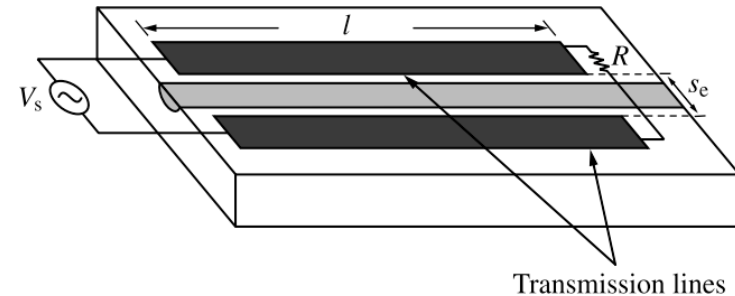
Two **limits** on bandwidth:

- **Speed mismatch between RF and optical signal**
- **Microwave attenuation at high frequencies**

Beware, if used in reverse mode the modulation efficiency is lower due to the reduced interaction between the travelling RF signal and the optical signal.

**Driving the pm:** driving the phase modulator at high speed can be challenging. The electrodes are mainly a capacitive load with few pf of capacitance. However, the 50ohm termination in travelling wave requires to supply a significant amount of current.

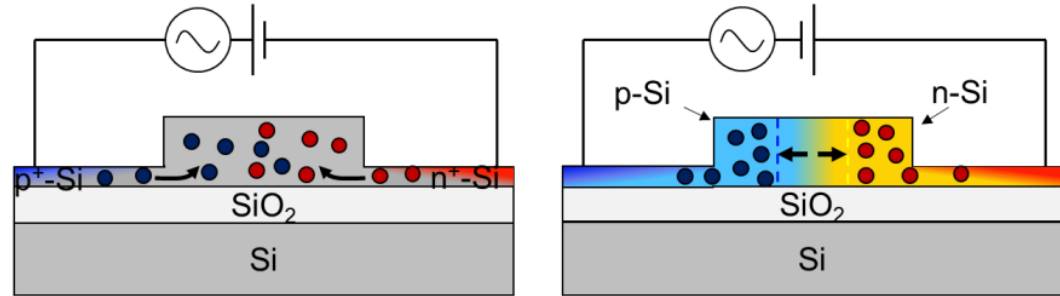
Typical  $V_{pi}$  are between 4 to 8V, and the 50ohm load usually requires to use power broadband amplifiers.



# Carrier injection/depletion phase modulators

They are mostly used in silicon-based devices, where it is hard to integrate different materials due to the fabrication process.

Due to the plasma dispersion effect, the density of free carriers in a semiconductor, changes both the real and imaginary parts of the refractive index.



$$\Delta n = \frac{-e^2 \lambda_0^2}{8\pi^2 c^2 \epsilon_0 n} \left( \frac{\Delta N_e}{m_{ce}^*} + \frac{\Delta N_h}{m_{ch}^*} \right)$$

Where  $\Delta N_{e,h}$  is the variation of number of electrons and holes

By doping with both p and n dopants the region across a waveguide we can add an high density of carries close to the waveguide while creating a p-n junction. By applying an electrical field we can remove ( or add ) carriers to the junction, changing the refractive index.

Can be fast (20 GHz +) but unlike LN PM they behave like diodes. Can be driven only in reverse mode otherwise the junction is opened.

V<sub>pi</sub> around 1V/mm

# Direct laser phase modulation

Another method to directly modulate the phase of the optical pulses is to exploit the dynamics of the laser. For DFB lasers the carrier density is related to the refractive index of the gain medium.

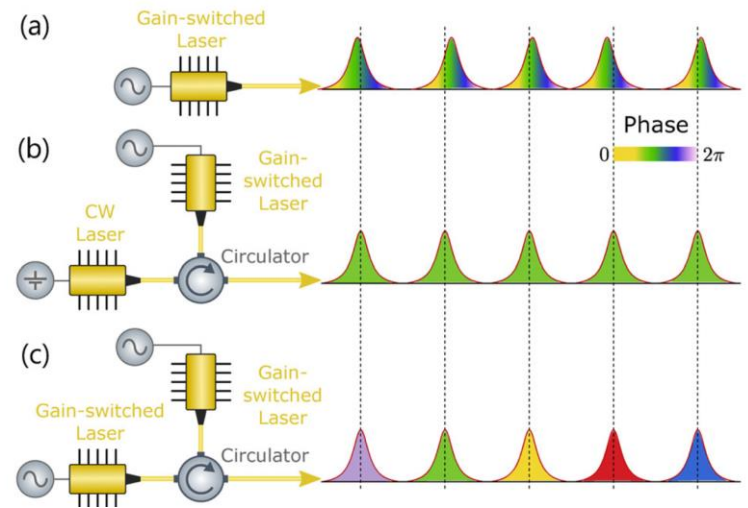
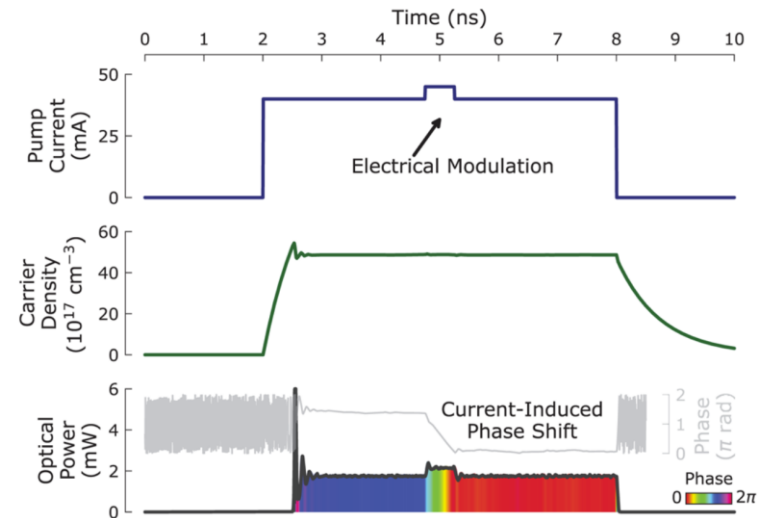
Changing the current will change the refractive index, changing the effective length of the cavity and thus the wavelength. After the modulation is off the wavelength is changed back to the original.

However the accumulated phase is retained.

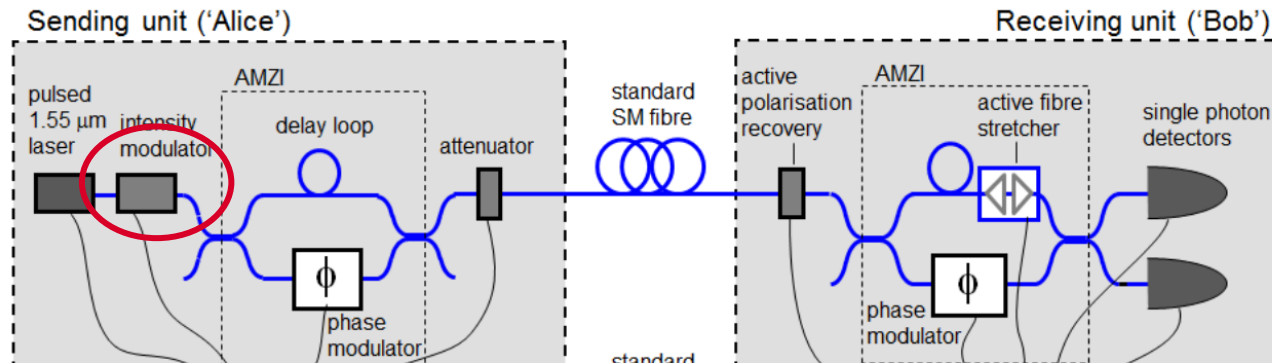
However the change in phase will induce changes in intensity. To avoid this effect the lasers are placed in the master-slave configuration, combining with the global phase randomization.

The modulation can be deterministically performed by changing amplitude and length of the electric mod.

Extremely efficient: for 250ps mod 7,4ma!



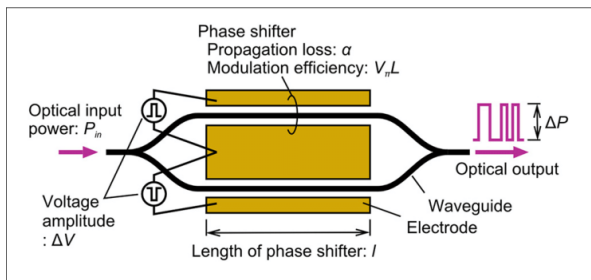
# Intensity modulator



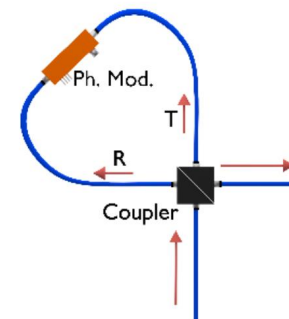
Another important component for a QKD setup is the **Intensity Modulator**

This component is required for the decoy-state method where two or more intensity levels need to be generated.

Several approaches: we will analyze

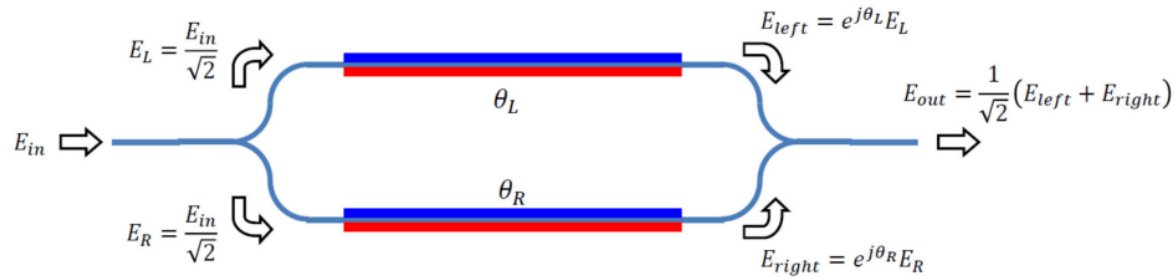


Mach-Zehnder modulator



Sagnac-loop IM

# Mach-Zehnder modulator



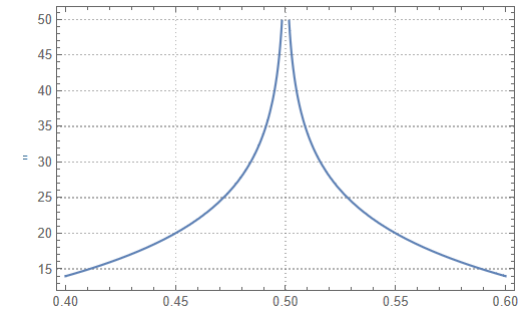
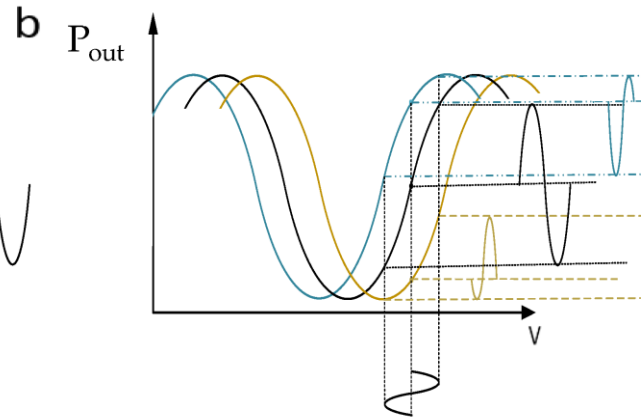
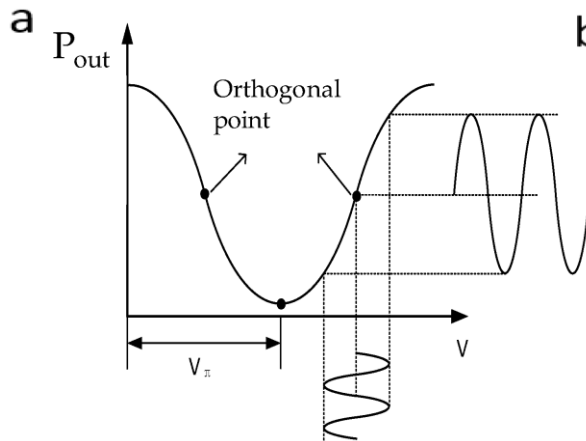
The Mach-Zehnder modulator allows to use the interference effect in a Mach-Zehnder interferometer to modulate the intensity of the output light. In this case a phase modulator in the MZ is used to change the phase of the light

$$I_O = I_i \cos^2\left(\frac{\phi(V)}{2} + \frac{\phi_0(t)}{2}\right) \quad T(V) = \cos^2\left(\frac{\phi_0}{2} + \frac{\pi}{2} \frac{V}{V_\pi}\right)$$

With push-pull driving, i.e. driving the up and low modulator with differential signals we remove the chirp and reduce by half the the  $V_\pi$

Typically MZ intensity modulator are produced with X-cut modulators

# Disadvantages of Mach-Zehnder modulator



The MZ modulator inherits several disadvantages of the MZ interferometers and from the phase modulators:

- Very sensitive to change in the path of the arms due to temperature
- Very sensitive to change in the phase in the arms due to phase modulator drifts
- Fluctuations in the phase change working point... direct change in intensity
- Fluctuations in the phase change working point: change in the ratio
- They usually work on the orthogonal points: small variation of the electrical signals mean high variations in the intensity output
- Extinction rate depends by the balancing of the BS
- Hard to get over 25/30db of ER

$$ER = -20 \log_{10}(|2R - 1|).$$

# A solution with two way configurations

A better solution employs an asymmetric Sagnac interferometer with PM fiber:

- Light is split into clockwise and anticlockwise path
- The phase modulator is placed asymmetrically so that clockwise and counterclockwise pulses can be addressed independently
- By either modulating the CW or the CCW pulse one can add a positive or negative phase shift
- Since both pulses travel in the same optical path, phase shifts experienced by both CW and CCW will be canceled out

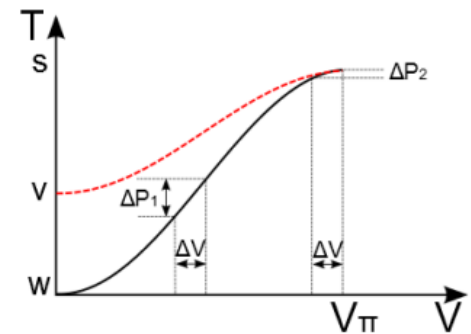
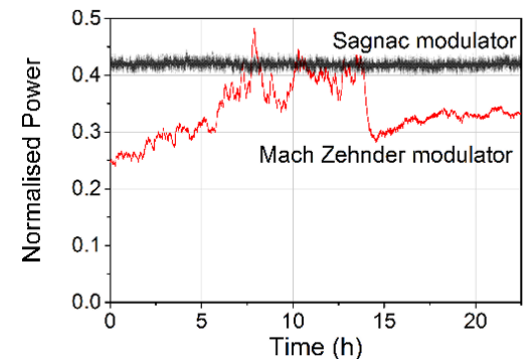
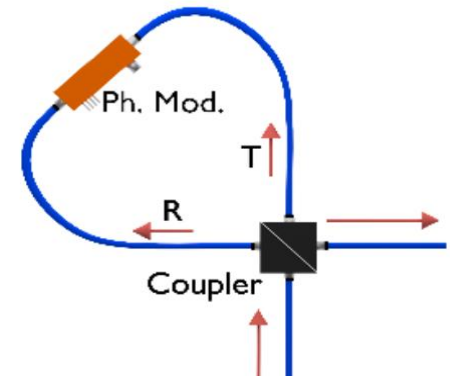
$$I \propto R^2 + T^2 + 2RT \cos(\Delta\phi)$$

## PRO:

- Higher temporal stability due to cancellation of common phases
- Tunable ratio
- Lower fluctuations if working point adjusted around the max of the transfer function
- Constructive interference with no modulation is useful for pulse chopping

## Cons:

- Max frequency due to travelling wave structure



# The encoding interferometer



Both for phase and time-bin implementations it is necessary to create the photon's superposition of the two temporal modes that are used to encode the phase.

One of the most common solution is to employ an unbalanced Mach-Zehnder interferometer, where one arm is longer than the other.

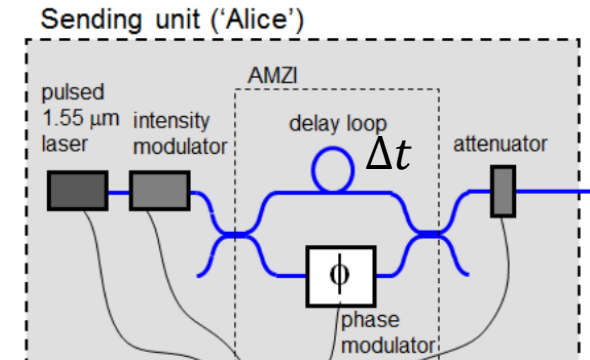
In this way the input pulse is mapped into to orthogonal pulses at different times.

$$|i\rangle \rightarrow \cos\left(\frac{\theta}{2}\right) |e(t)\rangle + \sin\left(\frac{\theta}{2}\right) e^{i(\phi(V)+\phi_o)} |l(t + \Delta t)\rangle$$

Being based on a MZ interferometer also this solution suffers the same stability problems discussed in the previous cases, which are related to the term  $\phi_o$ .

However, another problem is related to the **polarization**: the interference occurs only for states with the same polarization. If the polarization of the light at the recombining BS is different the visibility will be lower.

Relevant for fiber implemetations with SM





# The Faraday-Michelson interferometer



Again, to solve instability problems a two-way solution is used

The (unbalanced) Faraday-Michelson interferometer is a Michelson interferometer where the mirrors are replaced with Faraday mirrors.

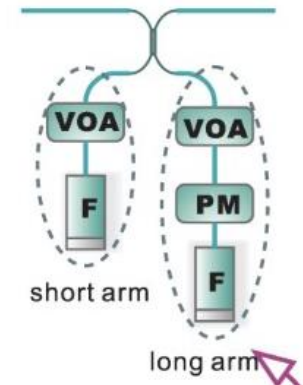
The Faraday mirror, in the reference frame of the photon, applies a  $\sigma_x$  transformation mapping

$$|H\rangle \rightarrow |V\rangle, |V\rangle \rightarrow |H\rangle, |D\rangle \rightarrow |D\rangle, |A\rangle \rightarrow |A\rangle, |L\rangle \rightarrow |R\rangle, |R\rangle \rightarrow |L\rangle$$

Placing the faraday mirror at the end of the arm, we ensure that any relative phase drift experienced by the light in the forward direction is compensated in the way back

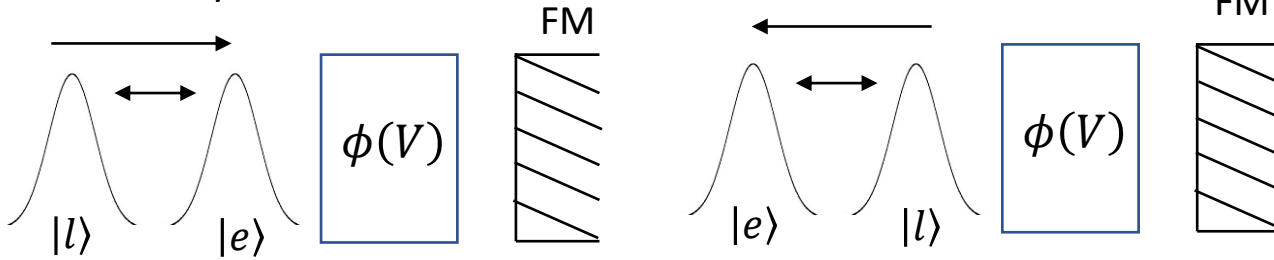
$$|H\rangle + |V\rangle \rightarrow |H\rangle + e^{i\phi_0} |V\rangle \rightarrow |V\rangle + e^{i\phi_0} |H\rangle \rightarrow e^{i\phi_0} |H\rangle + e^{i\phi_0} |V\rangle = |H\rangle + |V\rangle$$

Faraday-Michelson interferometer



# The Faraday-Michelson interferometer

Several ways to modulate:



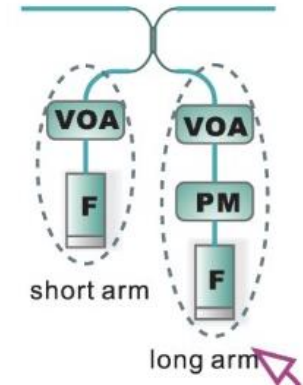
One or both pulses before the FM, one before one after the reflection, or in push pull

The big advantage is that many of the instabilities are compensated, higher visibilities: typically >98%

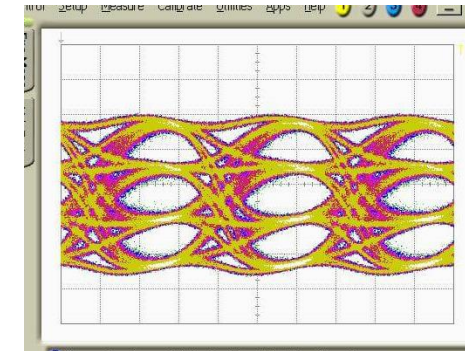
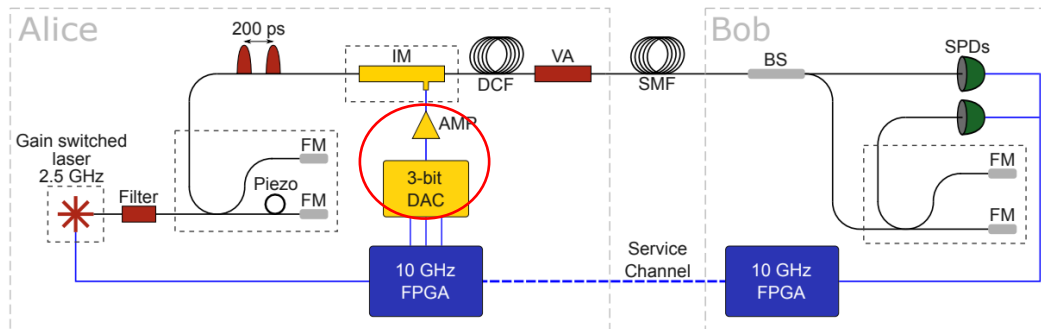
However, path difference is doubled due to the reflection. Increased phase fluctuations due to temperature changes

Also additional time requirements on the modulation: the original signal gets back to the phase modulator after  $2\Delta l \frac{n}{c}$

## Faraday-Michelson interferometer



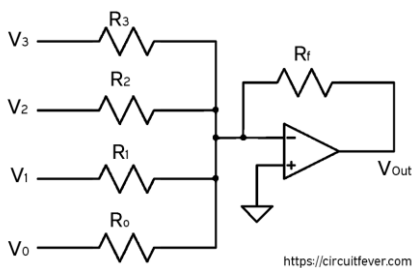
# Electronic driving



For most of the discussed implementations the timing requirements are quite challenging and jitter or low rise-time of the modulation signals imply an intersymbolic interference between the time-bins or subsequent pulses that increase the QBER.

For example: 200ps of separation requires rise-time of less than 50/70ps, equivalent to bandwidth of around 7GHz.

CMOS cannot provide such high speed, the only standards are ECL or CML with single-ended swings of 400mV into 50ohm load. However, typically IM or PM have  $V_{pi}$  voltages around 4 to 8V. This requires RF amplifier with sufficient BW, gain and saturation power of around 23-25dbm.



If multi amplitude is required need to add an RF DAC that maps parallel inputs into different amplitudes

# Polarization transmitters

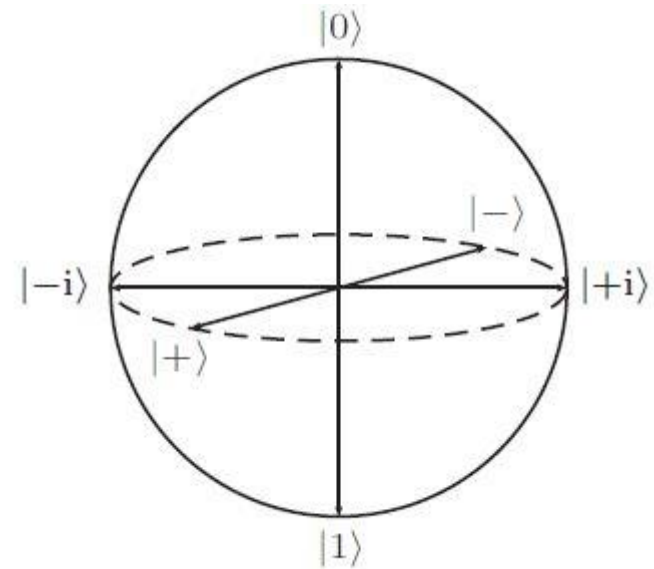
One of the first and simplest implementations of the BB84 protocol were done using the polarization of photons as degree of freedom.

Usually, the computational basis is chosen as the H/V basis:

$$|0\rangle = |H\rangle, |1\rangle = |V\rangle$$

While one of the other two is chosen as check basis:

$$|\pm\rangle = \frac{1}{\sqrt{2}} (|H\rangle \pm |V\rangle), |L\rangle, |R\rangle = \frac{1}{\sqrt{2}} (|H\rangle \pm i|V\rangle),$$



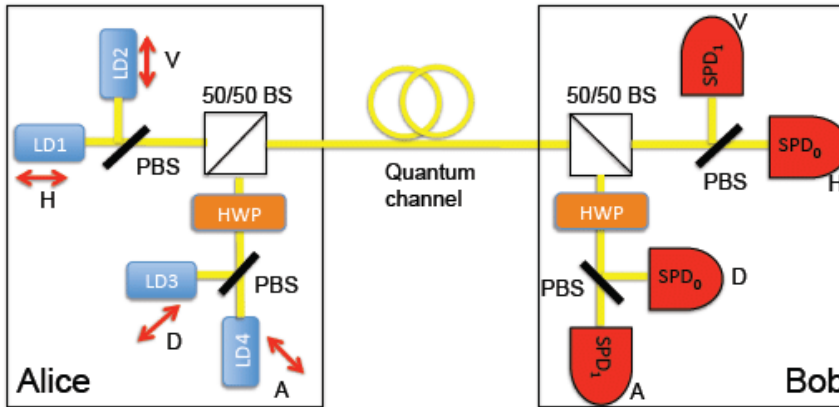
Polarization has several advantages:

- **Robust for free space transmission**
- **No need of interferometric setup** and can be prepared and measured easily

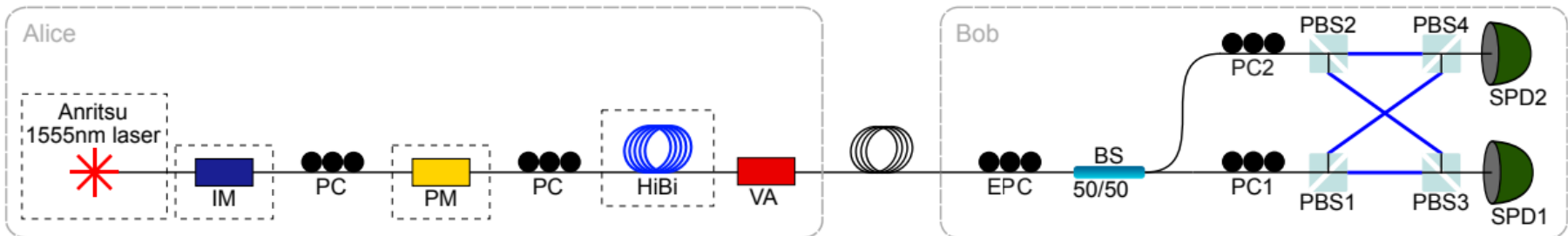
# Implementation of polarization-based BB84



There are several ways to implement the QKD protocol exploiting the polarization



Four independent lasers  
And four SPD

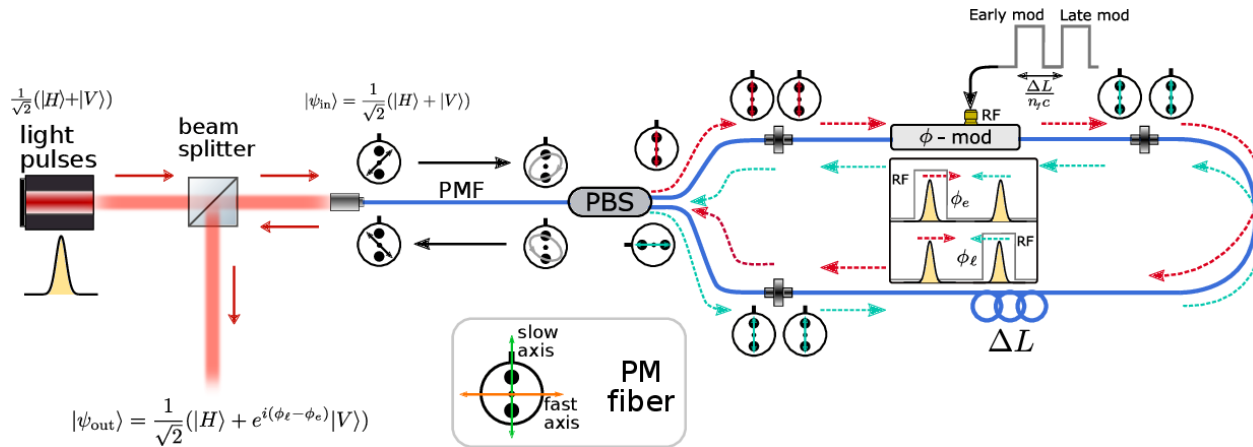
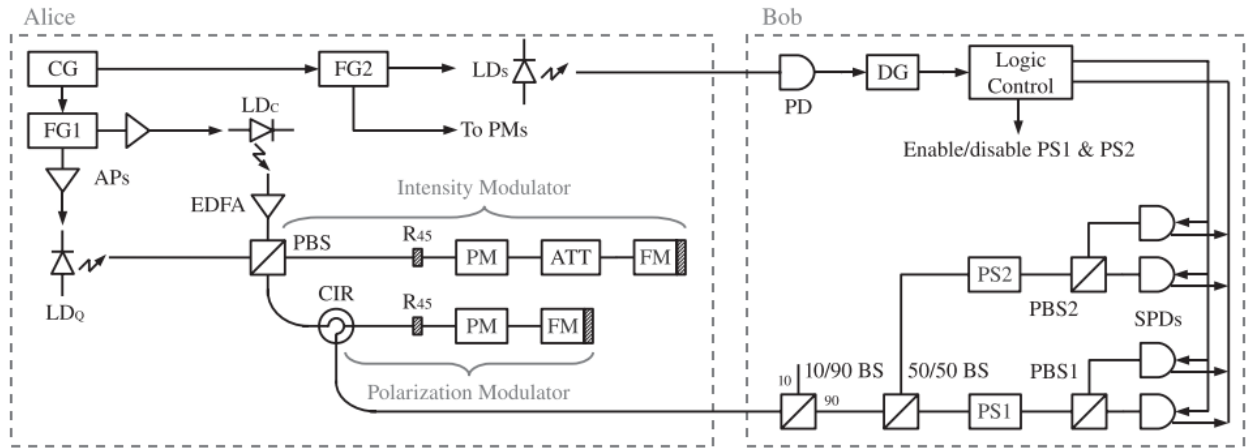


Inline phase modulator @45 deg and polarization multiplexed SPD

# Implementation of polarization-based BB84

There are several ways to implement the QKD protocol exploiting the polarization

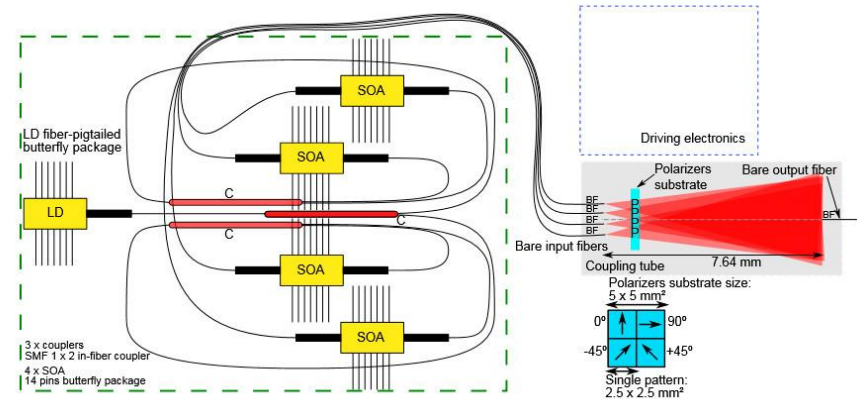
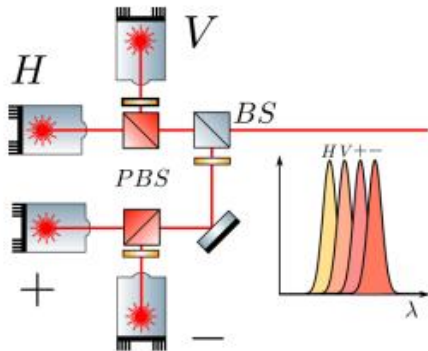
Phase modulator@45  
in two-way  
configuration with  
Faraday mirror



Pognac/ Ipognac  
modulator with  
Sagnac loop

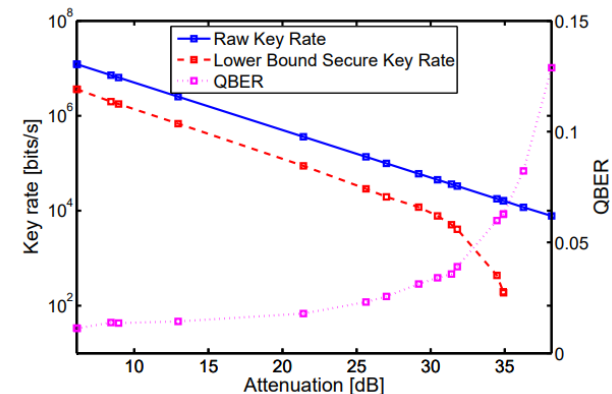
# Independent lasers

One possible implementation is to use 3 or 4 independent lasers or SOA, together with different polarizing elements and combining them



Each laser is turned on independently selecting the required polarization state. This simple solution has several drawbacks.

- **Expensive and power hungry** (4 lasers, 4 TEC, 4 driving circuits)
- **Security:** the 4 polarization states should be indistinguishable otherwise we get security loopholes.
  - **Different wavelengths**
  - **Different time emission**
  - **Different intensity**
- **Non periodic drive of the laser introduces power fluctuations between the rounds**

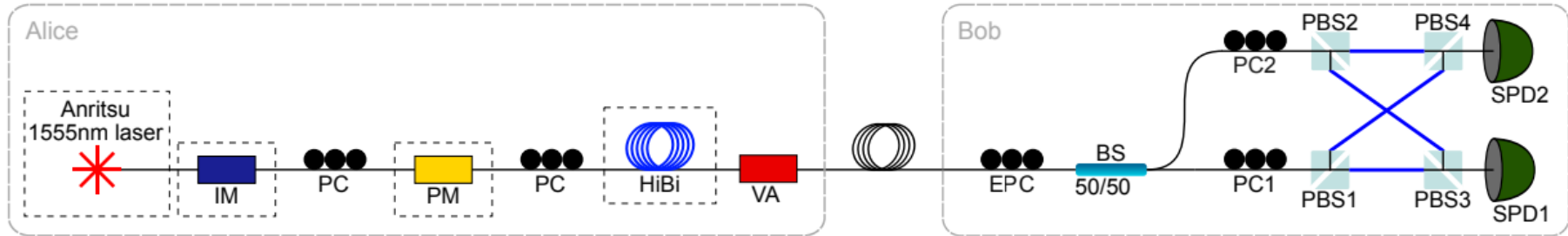


Lowest QBER 2%



# Inline configuration

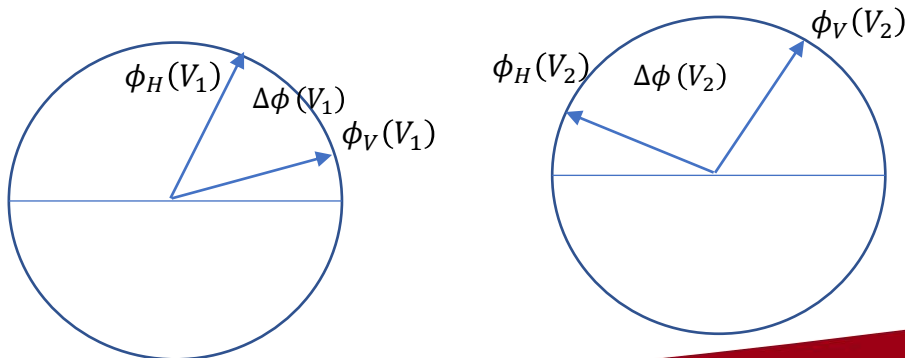
A better, yet simple, solution is to exploit the properties of Z-Cut phase modulators



We recall that Z-Cut phase modulators support two different polarization modes, however they have different modulation efficiencies

For the same modulation voltage the H polarized light will experience a phase retardation of  $\phi_H(Volt)$  while  $\phi_V(Volt)$ . If we enter with a diagonally polarized state:  $|\psi\rangle = \frac{1}{\sqrt{2}} (|H\rangle + e^{i\phi_0}|V\rangle)$

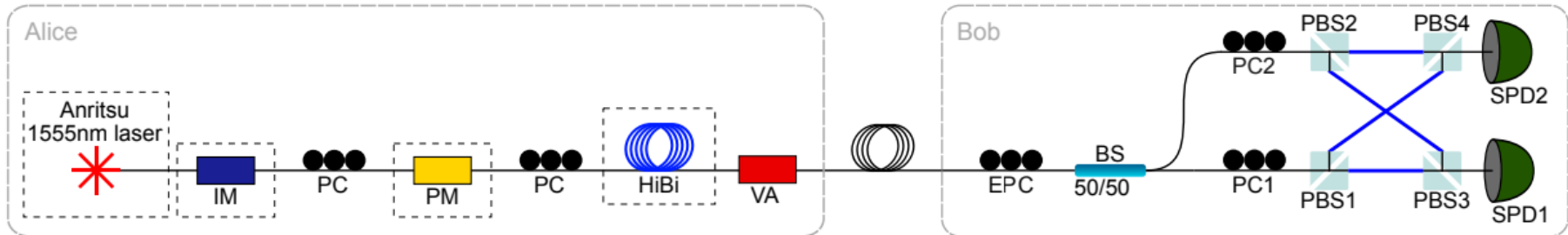
$$\frac{1}{\sqrt{2}} (|H\rangle + e^{i\phi_0}|V\rangle) \rightarrow \frac{1}{\sqrt{2}} (e^{i\phi_H(Volt)}|H\rangle + e^{i\phi_0 + \phi_V(Volt)}|V\rangle) = \frac{e^{i\phi_c}}{\sqrt{2}} (|H\rangle + e^{i\phi_0 + \Delta\phi(Volt)}|V\rangle)$$



By changing the Voltage we can create all the states on the equator of the Bloch sphere

# Properties of the inline configuration

This inline configuration is relatively simple to realize either using a PC or twisting the PM fiber at the input of the PM by 45 degree

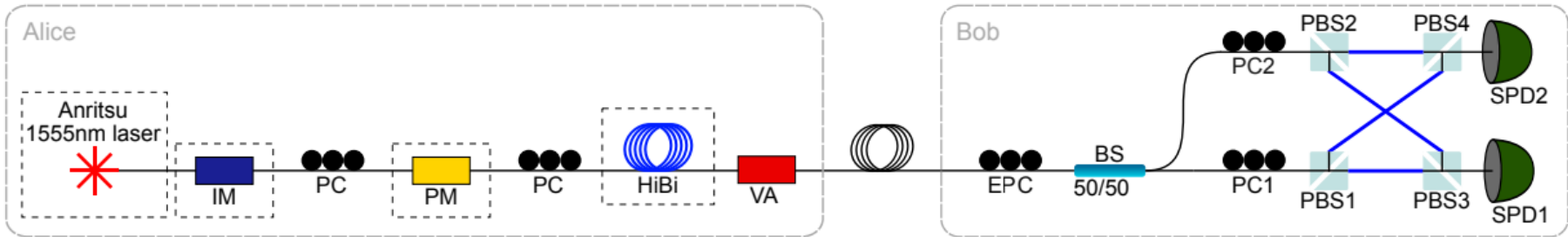


However it has several disadvantages:

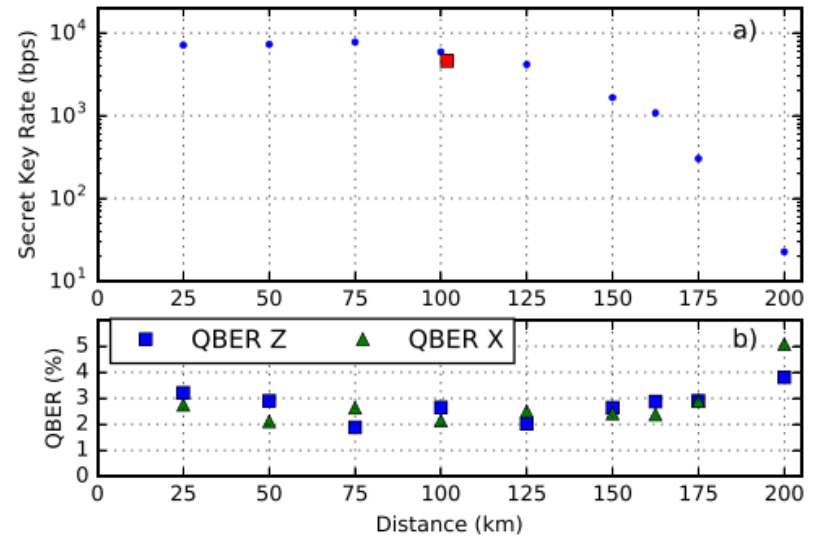
- **Need to control the polarization** at the input of the PM. Any drift of the input polarization will cause a drift of the output polarization. Not only unitary transformation but a change in the relative angles between the states. -> Security issue
- **Phase instability:** any phase instability from the phase modulator will add a term  $\phi(t)$  to the relative phase between the polarization. Decrease stability over time
- **Higher modulation voltages:** the polarization modulation is given by the relative phase modulation between the two components. Usually a factor 3 in difference. Need to apply  $\frac{3}{2}\pi$  to the phase modulator to obtain a  $\pi$  shift in polarization
- **Polarization mode dispersion:** the PM is long and highly birefringent. The H and V components will travel at different speeds. For short pulses this will add a time-offset to the components that will induce depolarization, increasing the QBER

# Performance of the inline configuration

This inline configuration offers limited performances due to the instability problems and the PMD introduced by the modulator



Still relatively high QBER  
Around 2%



# Two way with faraday mirror

An alternative solution is to use a two-way scheme with Faraday mirror

The scheme is similar with the PC preparing a state before entering in the phase modulator:

$$\frac{1}{\sqrt{2}} (|H\rangle + e^{i\phi_0}|V\rangle)$$

If we modulate the phase before the reflection with a positive voltage we add a relative phase

$$\frac{1}{\sqrt{2}} (|H\rangle + |V\rangle) \rightarrow \frac{e^{i\phi_c}}{\sqrt{2}} (|H\rangle + e^{\Delta\phi_e(Volt)}|V\rangle)$$

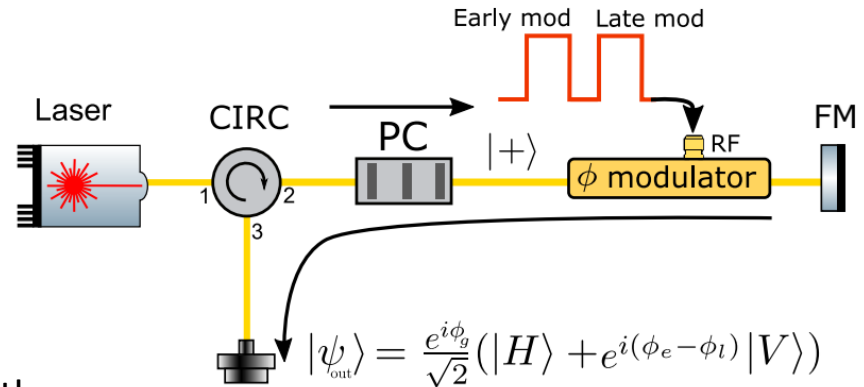
After the reflection, since the faraday mirror exchanges H and V it becomes a negative phase

$$\frac{e^{i\phi_c}}{\sqrt{2}} (|H\rangle + e^{\Delta\phi_e(Volt)}|V\rangle) \rightarrow \frac{e^{i\phi_c}}{\sqrt{2}} (|V\rangle + e^{\Delta\phi_e(Volt)}|H\rangle) \rightarrow \frac{e^{i\phi_c+\Delta\phi_e(Volt)}}{\sqrt{2}} (|H\rangle + e^{-i\Delta\phi_e(Volt)}|V\rangle)$$

A late modulation, will add a positive phase  $\Delta\phi_l(Volt)$  so that together

$$\frac{e^{i\phi_g}}{\sqrt{2}} (|H\rangle + e^{i(\Delta\phi_l(Volt)-\Delta\phi_e(Volt))}|V\rangle)$$

**Because of this any fluctuation term  $\Delta\phi_0(t)$  experienced before and after the reflection will be canceled out!**



# Properties of the two-way with Faraday mirror

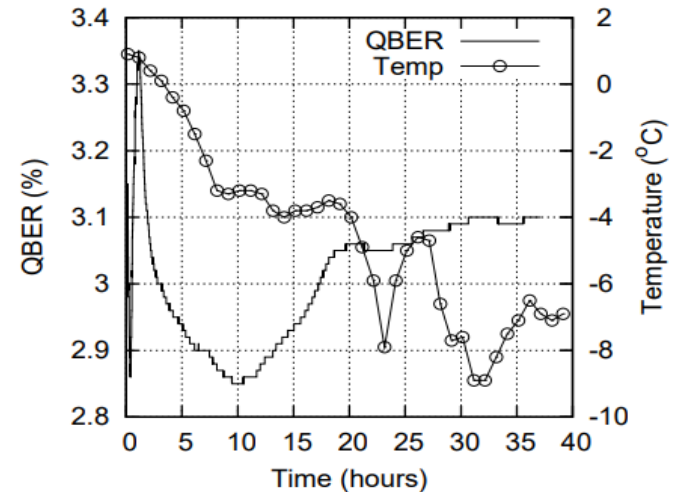
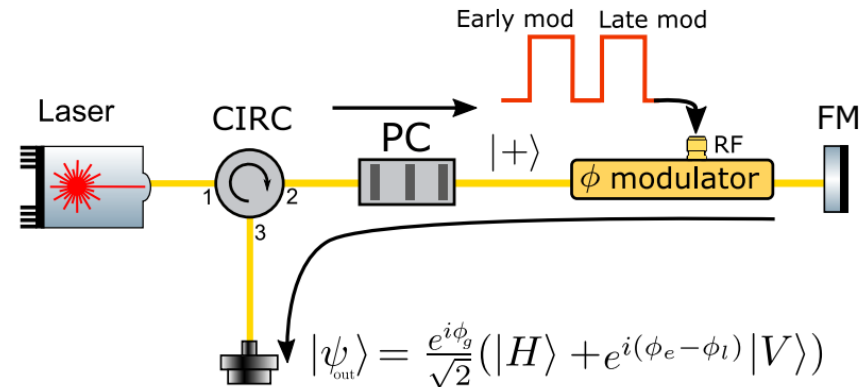
The two-way scheme with Faraday mirror has some advantages and disadvantages

## PRO:

- **Stability:** it improves the overall stability over time since phase fluctuations are completely canceled out by the Faraday mirror

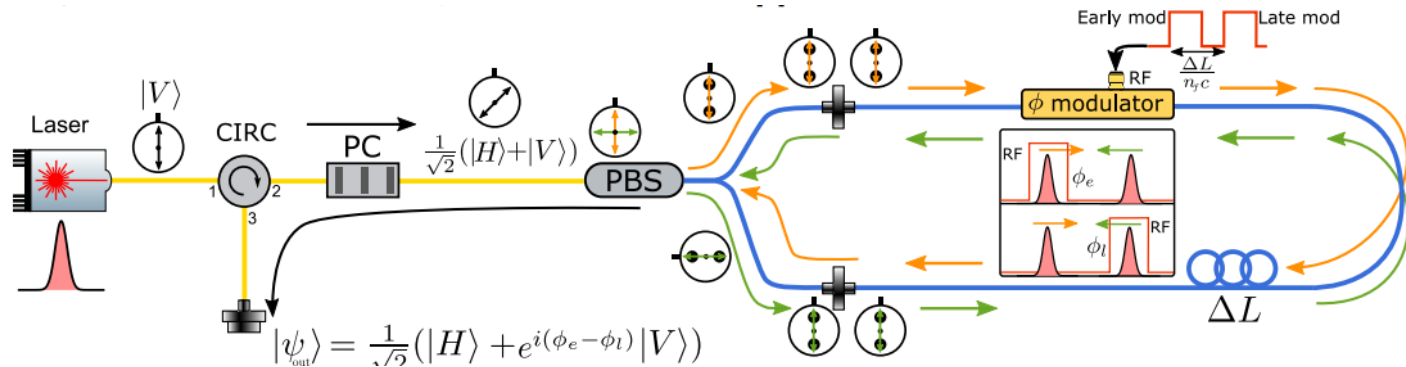
## CONS:

- **Polarization:** it still requires a phase modulator supporting both polarizations
- Still requires stabilization of input polarization
- Not all the PMD is compensated
- Still requires high modulation voltages
- Adds timing constraints to the modulation



High QBER and low stability

A method that improves the previous solution is called POGNAC and exploits the properties of Sagnac loop



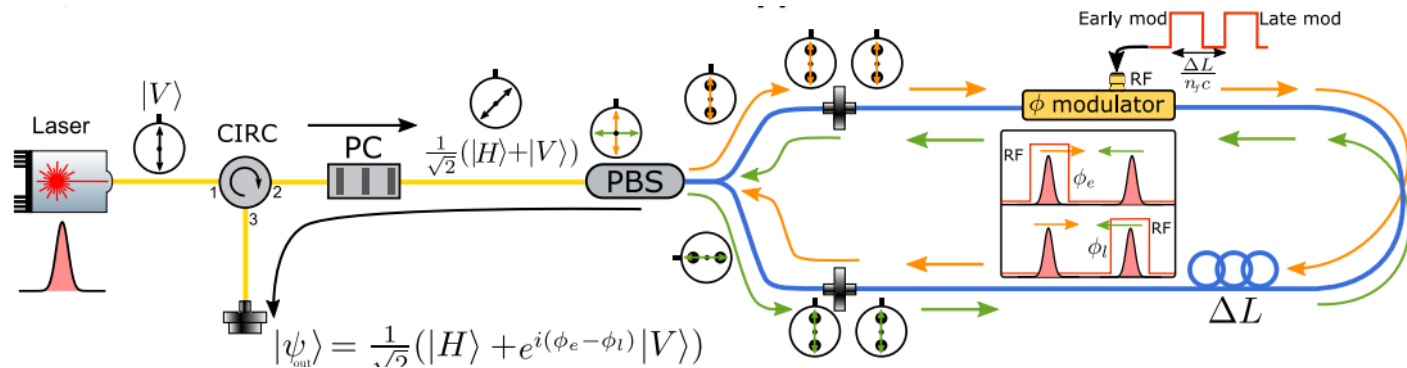
Working principle:

- A PC prepares a balanced superposition of H and V  $\frac{1}{\sqrt{2}} (|H\rangle + e^{\psi_0} |V\rangle)$
- The PBS splits the two components in the clockwise and counter-clockwise directions
- The output fiber of the PBS on the H axis is aligned with the PANDA and with the key on the horizontal axis. After the connection with the next fiber this map H in V. Now a single polarization runs in the loop.
- The PM is placed asymmetrically and the CW and CCW pulse arrive at different times
- A phase modulation on the early pulse add a positive shift while a late adds a negative shift
- At the PBS after the recombination the state is

$$|\psi_{out}^{\phi_e, \phi_l}\rangle = \frac{1}{\sqrt{2}} \left[ |H\rangle + e^{i(\phi_e - \phi_l - \varphi_0)} |V\rangle \right]$$

**Any common phase fluctuation is canceled out!**

The POGNAC improves the Faraday Mirror solution, however still has some drawbacks



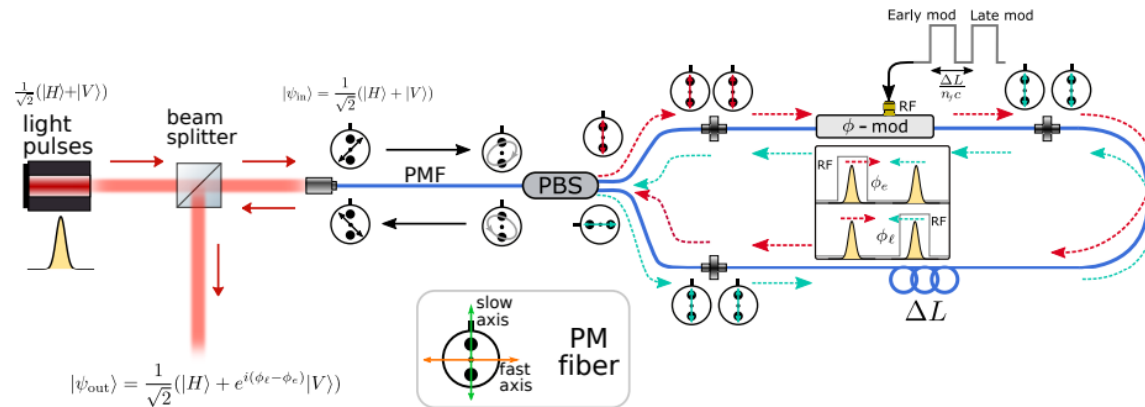
## PRO:

- **Stability:** phase fluctuations longer than a round trip are canceled out
- Only one polarization runs in the loop: no need of special PM
- Since only one polarization PMD is completely compensated  $\rightarrow$  high ER
- Lower modulation voltages: phase modulation is directly mapped ad voltage modulation

## CONS:

- Still requires stabilization of input polarization
- Adds timing constraints to the modulation
- Unknown polarization state at the output due to SMF

To solve the problem of input polarization and known output states we can use the IPOGNAC



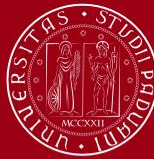
Similar working principle:

- Input free-space BS and light coupled @45 degree in the input fiber
- Input PM fiber instead of SM. In general between the coupler and the PBS the light will pick up a relative phase  $\phi_i$
- However, since also the Sagnac loop exchanges H with V, this  $\phi_i$  phase will be compensated in the way back
- At the output of the BS in reflection we have known state of polarization, since there are no unitary transformations applied by the SM fiber and the input relative phases are compensate

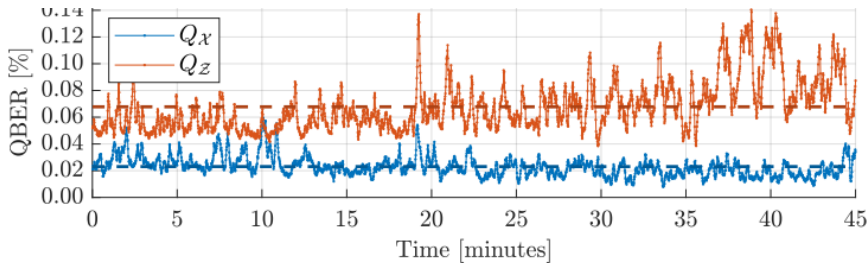
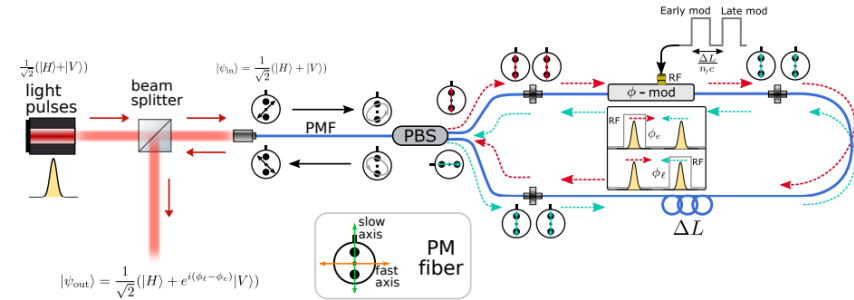
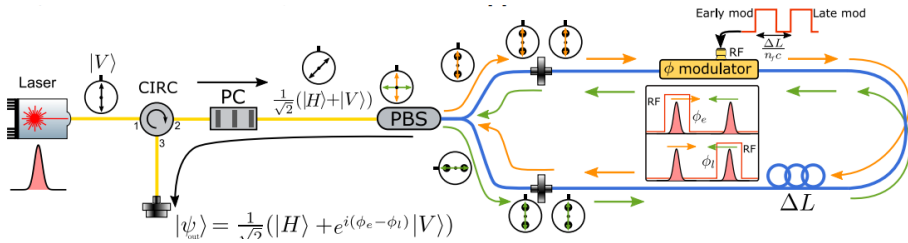
**Solves problems of input stability and output reference, useful for free-space**



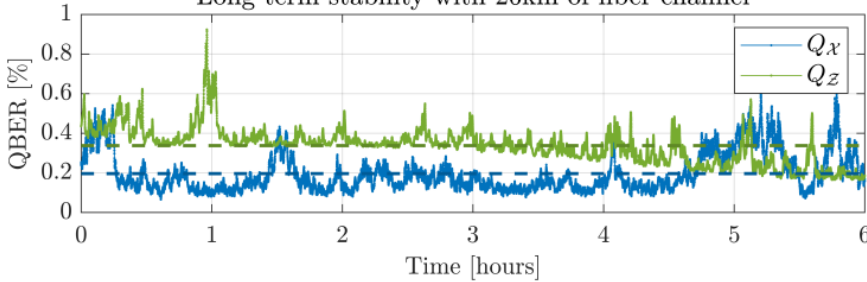
# Performance of POGNAC and IPOGNAC



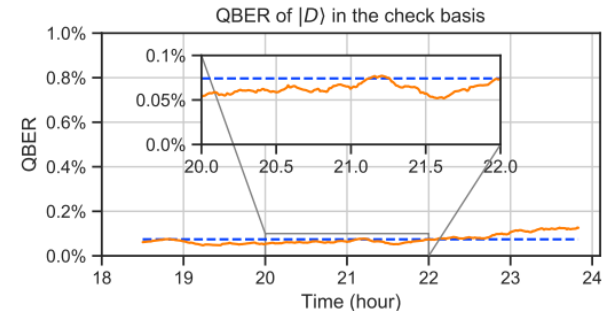
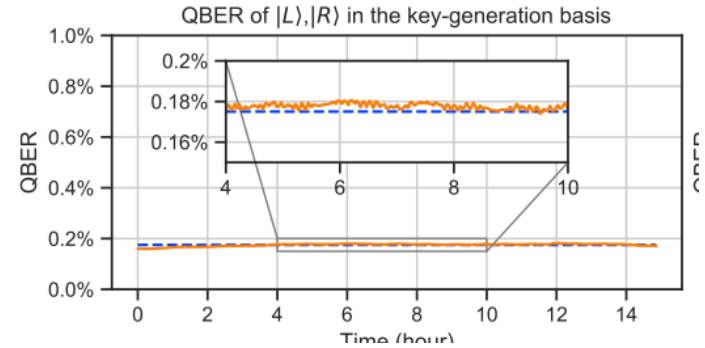
The removal of the PMD and the increased stability allow for a substantially lower QBER



Long term stability with 26km of fiber channel

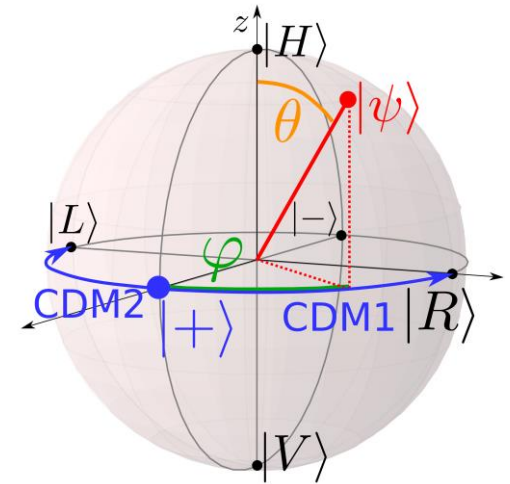
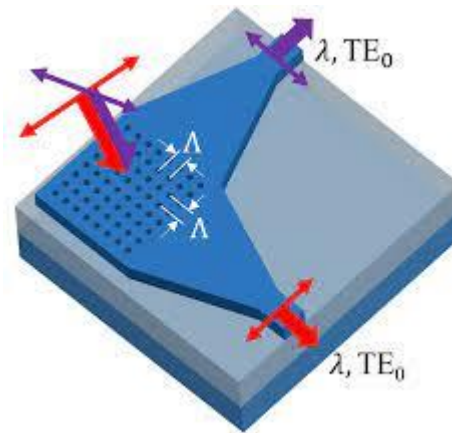
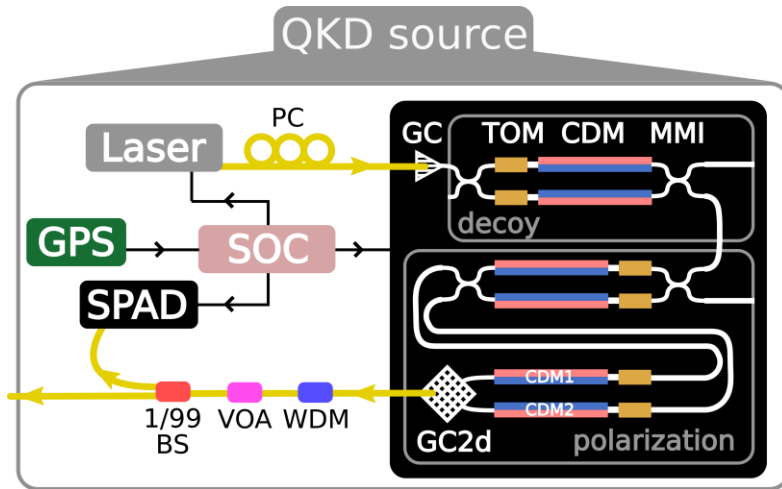


**Qber below 0.05%**



# What about integrated optics?

A common problem in silicon photonics is that silicon waveguides support only one polarization. Can we still have polarization-encoded QKD?



The idea is to use only one polarization and map two different paths to different polarizations. The Grating Coupler 2D maps two TE modes, with orthogonal input into orthogonal polarizations

Then, using the structure in the picture:

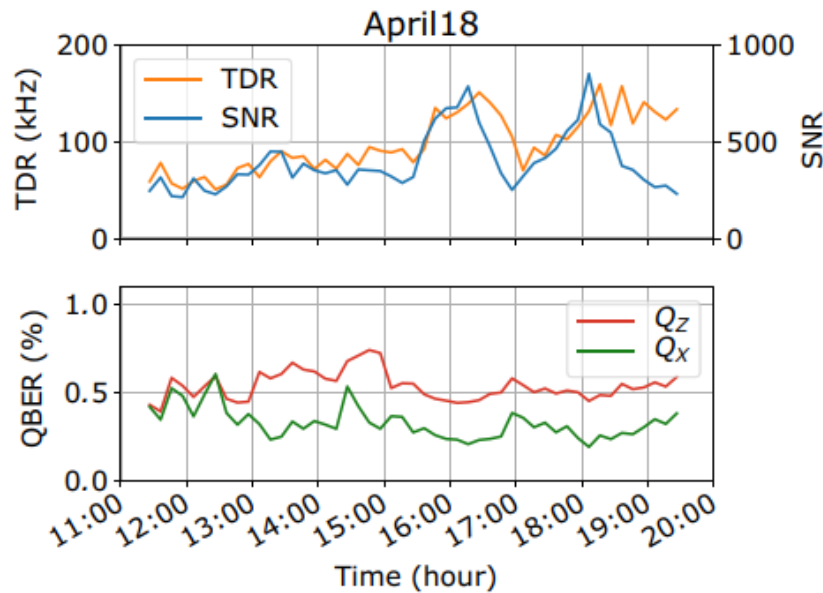
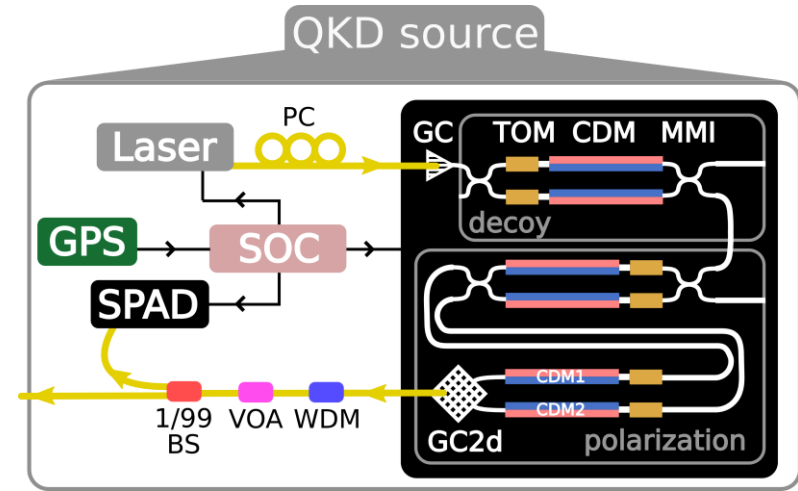
- First MZI used for intensity modulation
- Second MZI allows to prepare light all in the upper arm (H pol) or in the lower (V pol) or a linear combination. This allows to move up or down in the bloch sphere
- The last set of phase modulators allow to add a relative phase to H, or V. If enter with a  $|+\rangle$  state allows to generate L or R

**Moving on the equator of Bloch**

# Performance of the Integrated polarization QKD

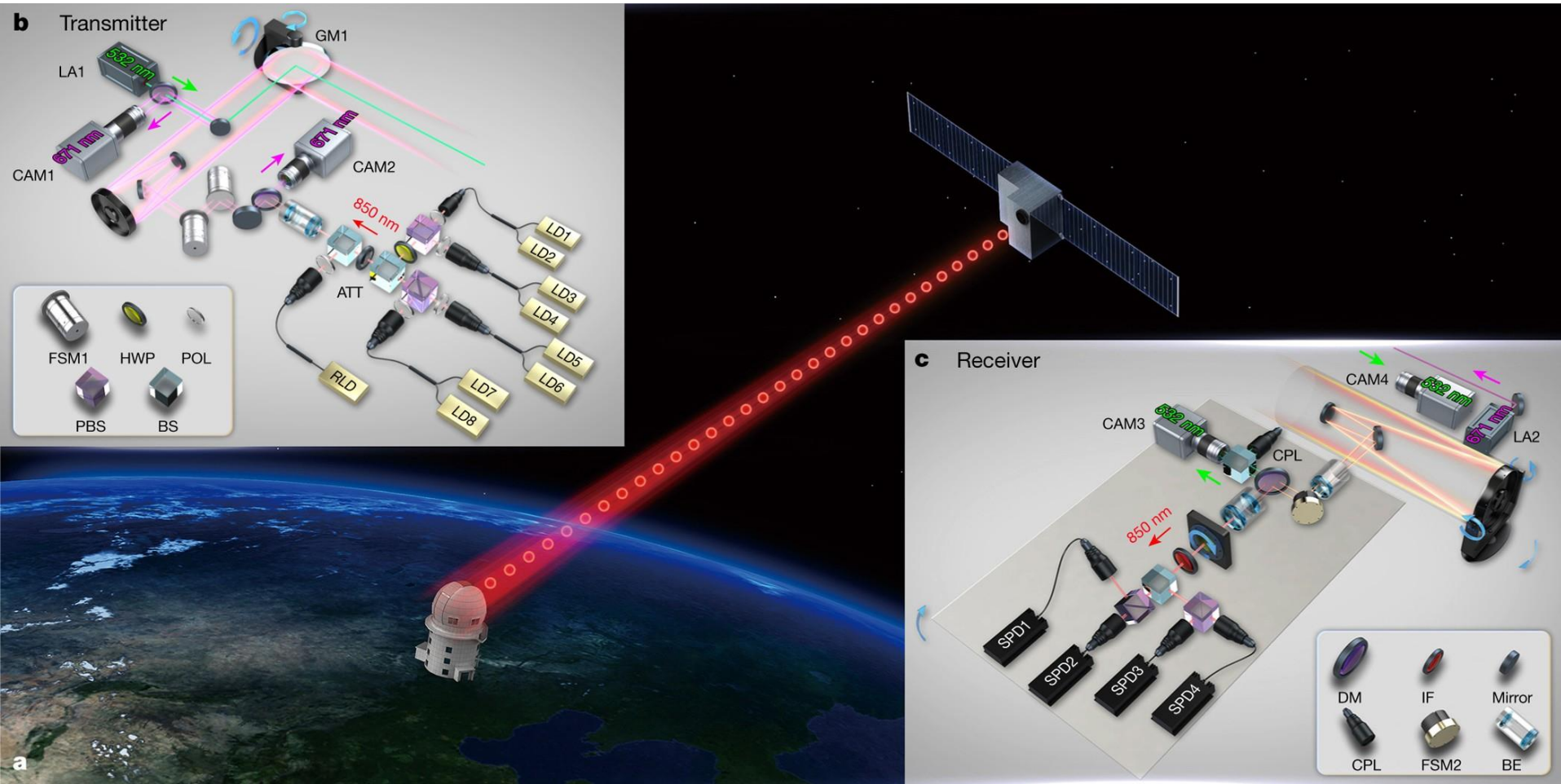
The integrated solution, while being an interferometric structure offers a reasonable high stability due to the integration of all components in the same substrate and small length at play

Also, only one polarization is present in the chip allowing to remove PMD and achieving low QBER



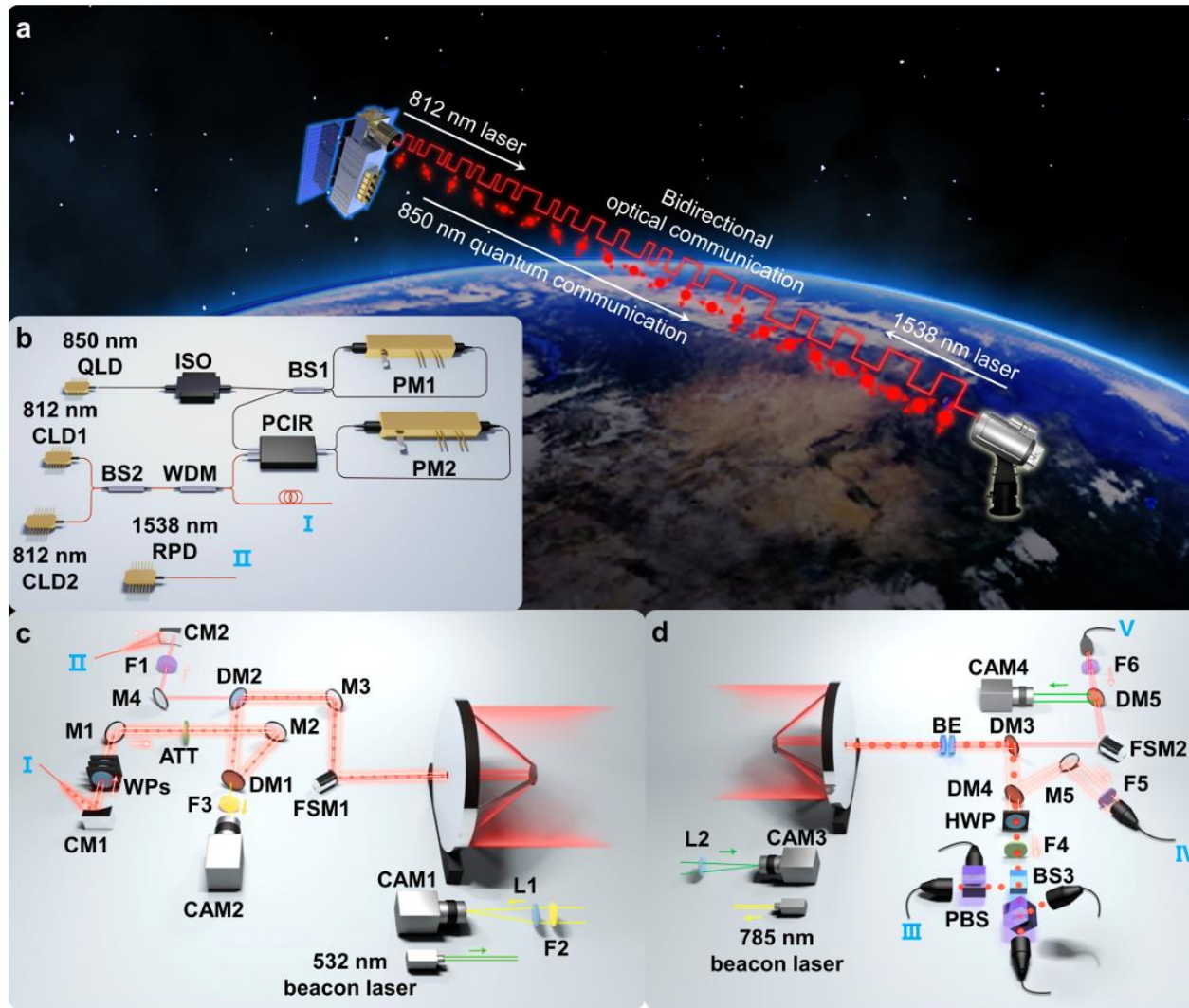
QBER below 0,5% in the free-space implementation in daylight

# Satellite QKD source: Micius





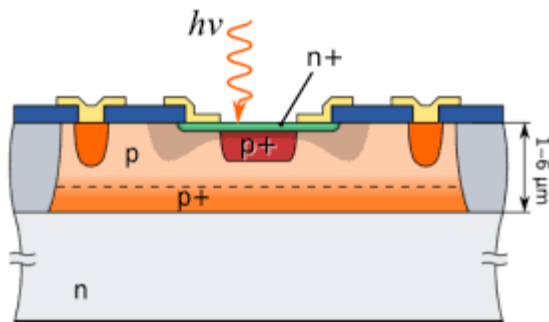
# Satellite QKD source: Jinan-1 microsatellite



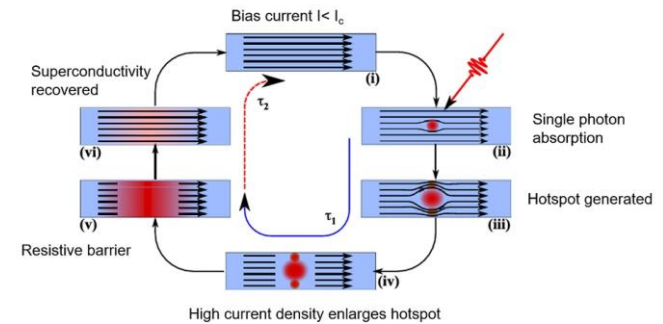
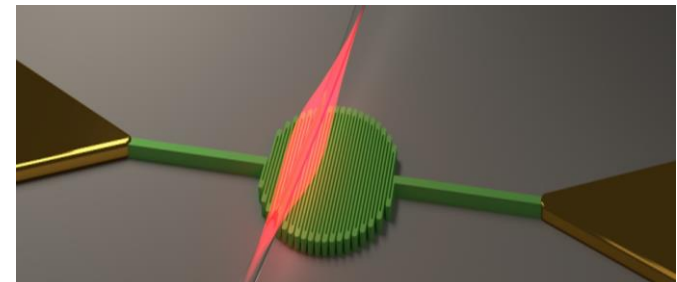
**Receivers**

There are two main categories of single photon detectors that are used in usual QKD systems.

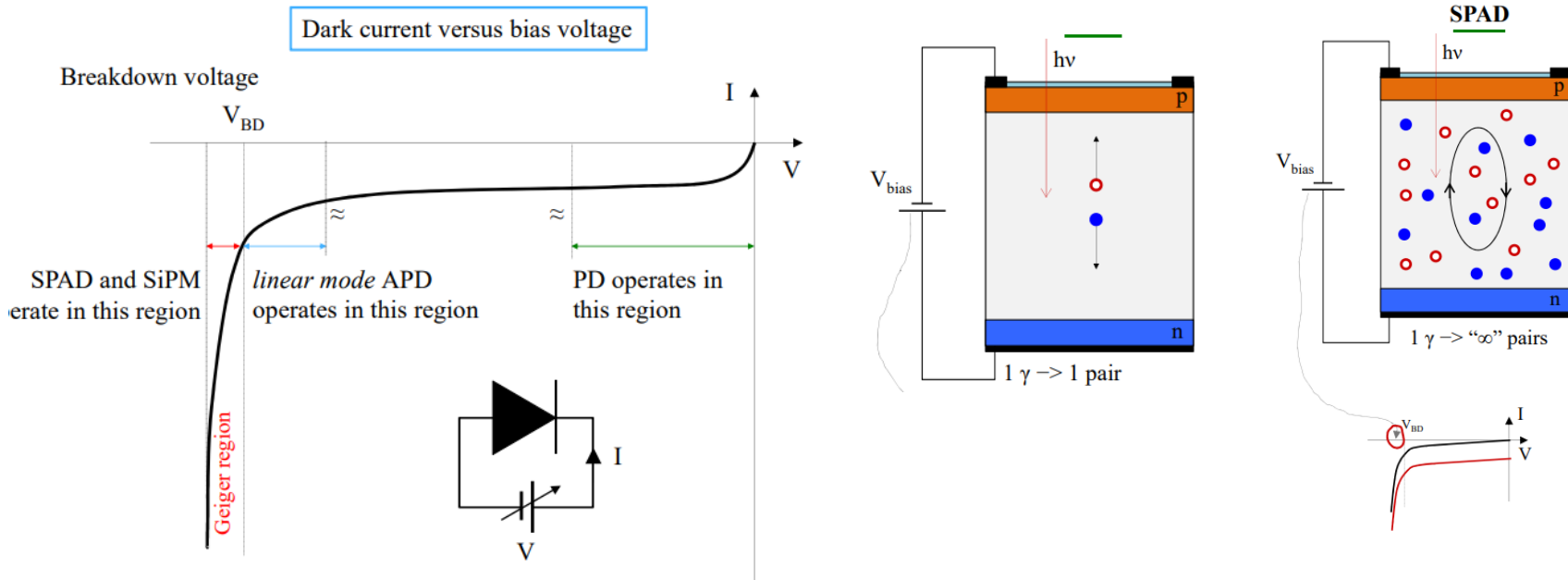
## Single photon avalanche photodiodes (SPAD)



## Superconducting Nanowire Single photon detectors (SNSPD)



The SPAD, as the name says, are similar to conventional PIN diodes, but with a biasing field close the breakdown voltage of the junction

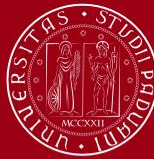


## Operation mode of an (A)PD

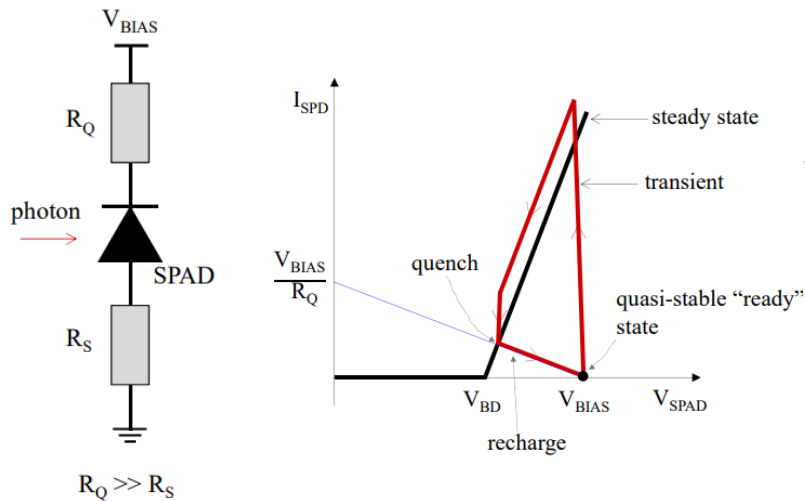
The photon when interacts with the intrinsic region creates an electron-hole pair that is accelerated by the electric field. In Geiger mode the carriers acquire sufficient kinetic energy that when they collide with the lattice they have energy to create other electron hole pairs, generating an avalanche, and so a macroscopic current.



# SPAD: quenching

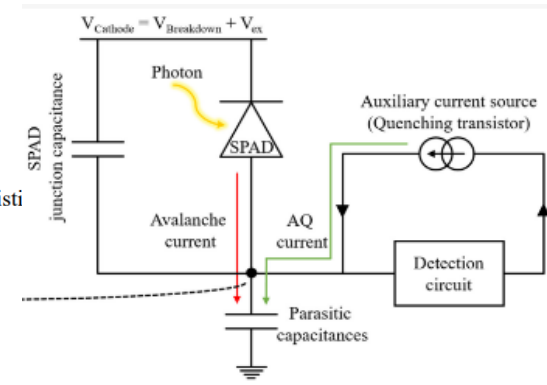
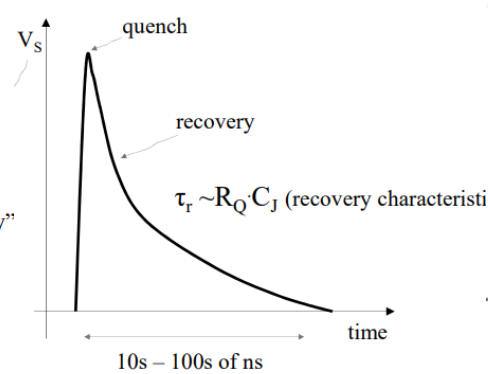


The avalanche is an exponential process, if not blocked will blow up the semiconductor: need to quench the avalanche!



Passive quench

Voltage pulse on  $R_S$  due to avalanche



Active quench

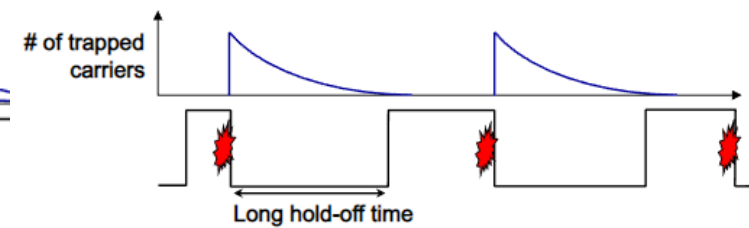
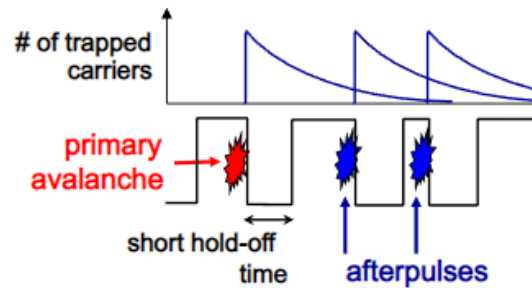
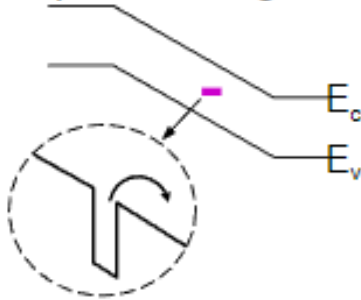
In the passive quench, a large Resistor  $R_Q$  is used to reduce the voltage bias when the avalanche starts. Such circuits passively quench the circuit.

**Drawbacks? Large  $R_Q$  increases the recovery time!**

**Active quench is faster**

One of the unwanted effects of Spad is the afterpulsing effect:

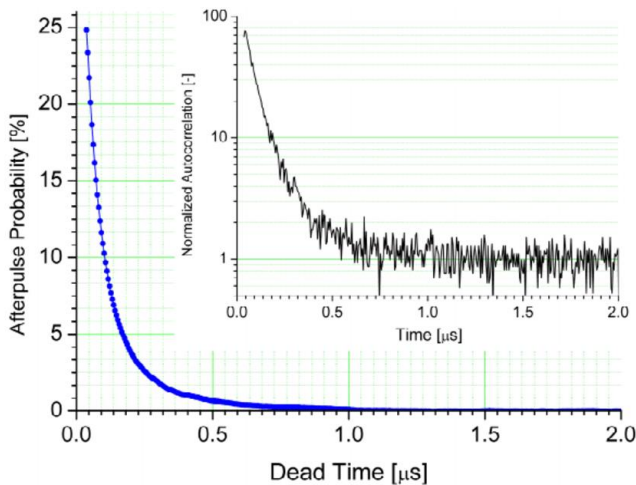
trap sites located in multiplication region



Due to non-perfect purity there are trap levels in the semiconductor

Long decay times. If bias is re-applied trapped electrons or holes start the avalanche!

Need to increase the holdoff-time to avoid avalanche

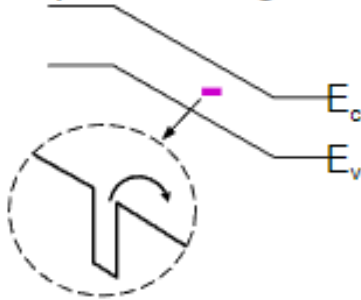


- The higher the avalanche the higher the afterpulse.
- The shorter the holdoff the higher the afterpulse
- The lower the spad temperature, the higher the lifetime of trap states, the higher the afterpulse
- For Si, DT=1us, for InGAs DT=10-40us

**Main limitation to high count-rate SPAD**

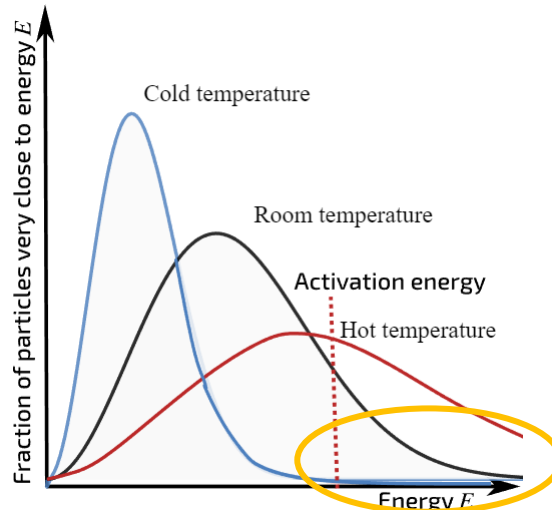
Another unwanted effect is due to dark counts detections

trap sites located in multiplication region



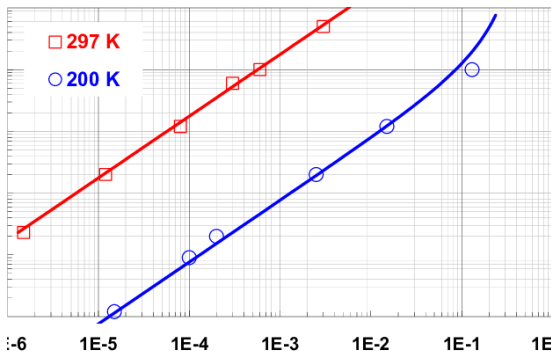
The energy gap between the conduction and the valence band is finite

**This problem is more severe in InGAs where the energy gap is smaller than in silicon where the gap is bigger**



Carriers in the SPAD are at a non-zero temperature and their energy is distributed as a Maxwell-Boltzmann distribution

Due to the long tail at high energy, statistically some carrier will have the energy to “jump” starting the avalanche



## Solutions:

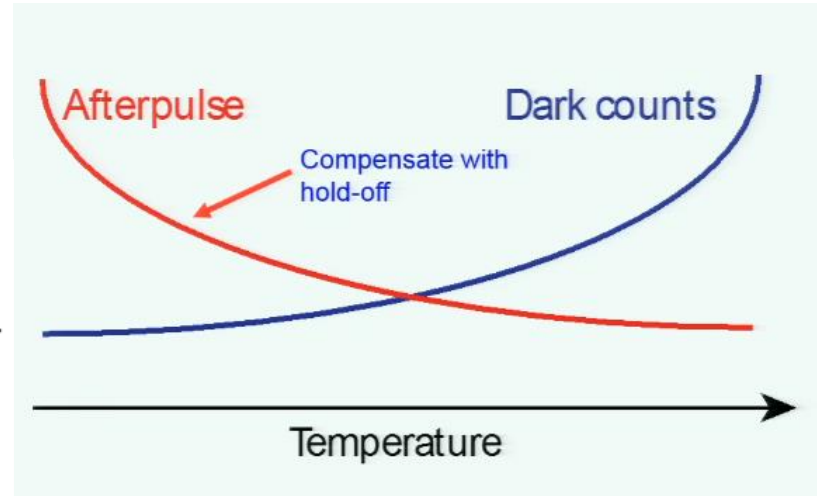
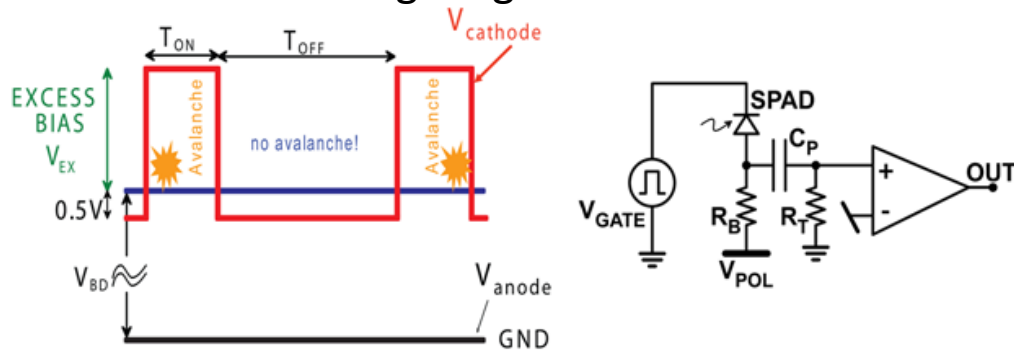
- Cool down the SPAD
- Cool down the fiber
- Decrease the bias

# SPAD: afterpulse and dark counts and gating



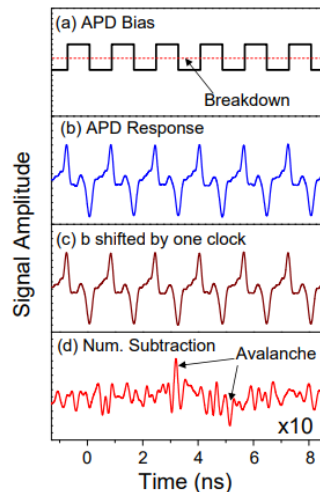
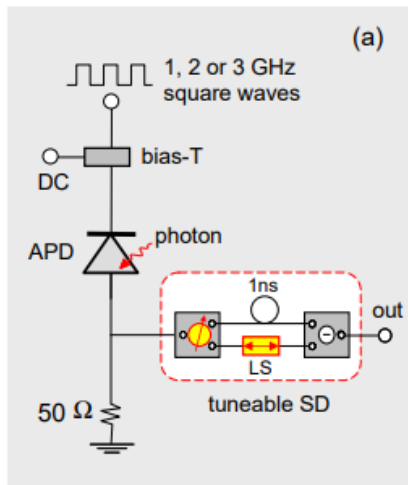
However cooling down increase the holdoff!

Another method: gating!



Only turn on bias for short time, when you expect the photon. Need good synchronization!

An option is to use Self-Differentiating detectors!



|                              | $f(\text{GHz})$ | $\eta(\%)$ | $P_a(\%)$ | $P_d(\text{gate}^{-1})$ |
|------------------------------|-----------------|------------|-----------|-------------------------|
| SD-APD, 2007 <sup>a</sup>    | 1.25            | 10.9       | 6.16      | $2.34 \times 10^{-6}$   |
| Sine-wave, 2009 <sup>b</sup> | 1.5             | 10.8       | 2.8       | $6.3 \times 10^{-7}$    |
| This work                    | 2.0             | 11.8       | 1.43      | $3.79 \times 10^{-6}$   |
|                              | 2.0             | 23.5       | 4.84      | $1.32 \times 10^{-5}$   |

- GHz square waves to gate
- Small excess bias -> smaller avalanche
- Shorter avalanche
- SD circuit reveals avalanche over capacitive transient

# Typical SPAD performances



Let's summarize the typical SPAD performances

Silicon:



- Detection efficiency@800: 62%
- Dark counts: 100-1500 cps
- Jitter: 350ps
- Dead time: 40ns

InGAs



- Detection efficiency@1550: 15-25%
- Dark counts: 1-10k cps
- Jitter: 70 - 200 ps
- Dead time: 10 – 100 us

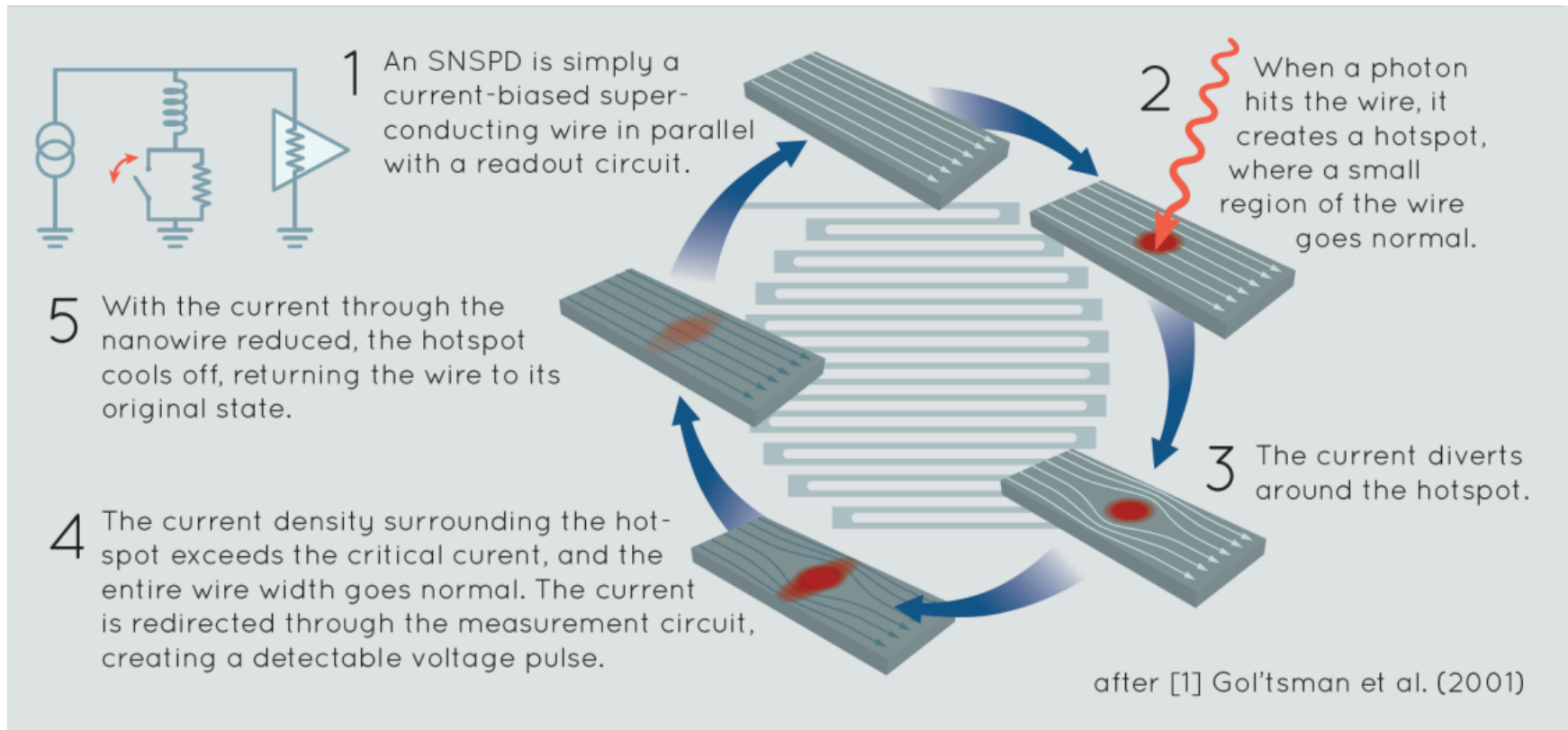
Self-Differentiating



- Detection efficiency@1550: 15-25%
- Dark counts: 3k cpg
- Jitter: 100 ps
- Dead time: 0.5 - 1 ns

The other technology is given by SNSPD:

## Working principle



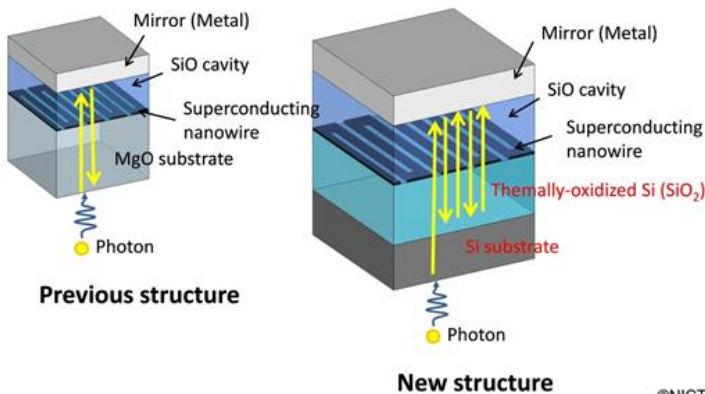
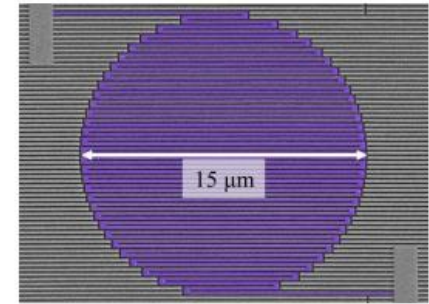


# SNSPD: requirements



## Requirements and properties:

- Different superconducting materials NbN, Wsi but all require cryostat, vacuum and cooling: 0,8 – 3,5K
- Up to now dimension is around 15um or smaller: need to couple ino SM fibers not MM
- Polarization dependent: due to asymmetry one polarization has higher absorbtion than the other ( half)
- Cavity: SNSPD are broadband but to enhance efficiency they have a cavity specific for the wavelength of interest



©NICT

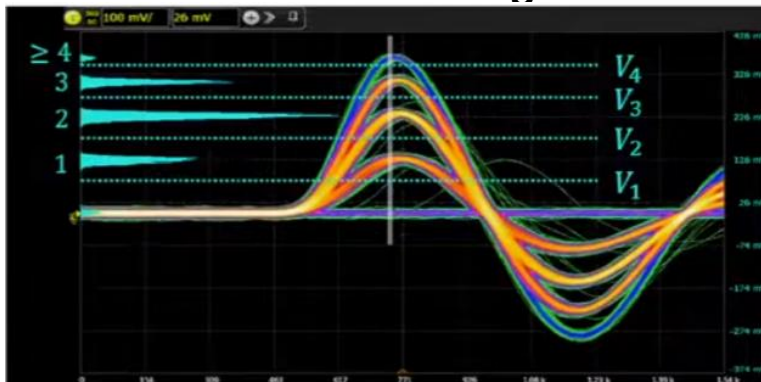


## Typical performances of SNSPD:

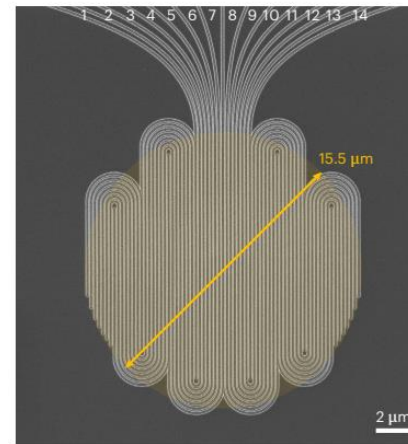
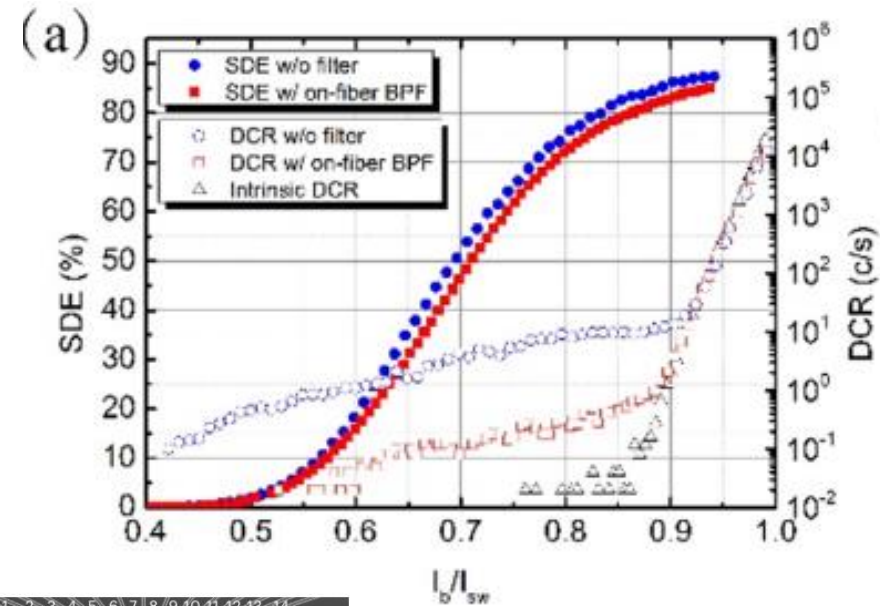
- Efficiency @ 1550nm: up to 99%, typical 85%
- DCR: down to 0,1cps, typical 100cps
- Jitter: down to 10ps, typical 50-100ps
- Recovery time: 10 to 100ns @50%

## Other features:

### Photon Number resolving



Different amplitudes for different number of resolved photons

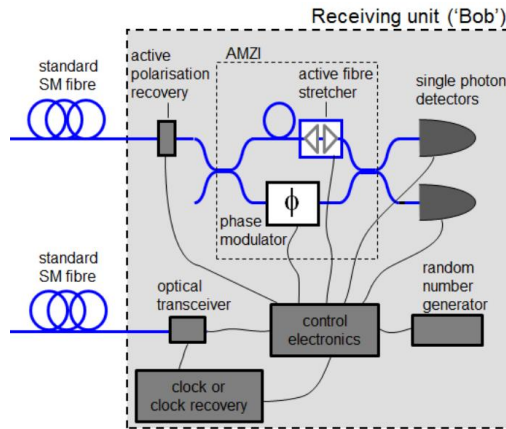


### Multi pixel SNSPD

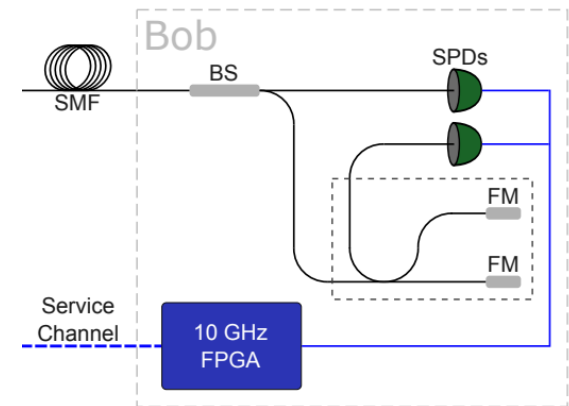
360 Mcps!



Let's look now at the receiver side. The setup are similar for phase and time-bin



Phase receiver



Time-bin receiver

The main issue for both setup is that they involve interferometers for the extraction of the relative phase between the time bins.

As we have seen, interferometers suffer from stability issues over time due to their sensitivity to environmental factors such as temperature.

Additional challenge: to obtain good visibilities the delay of the transmitting IF should match as much as possible the one at the receiver.

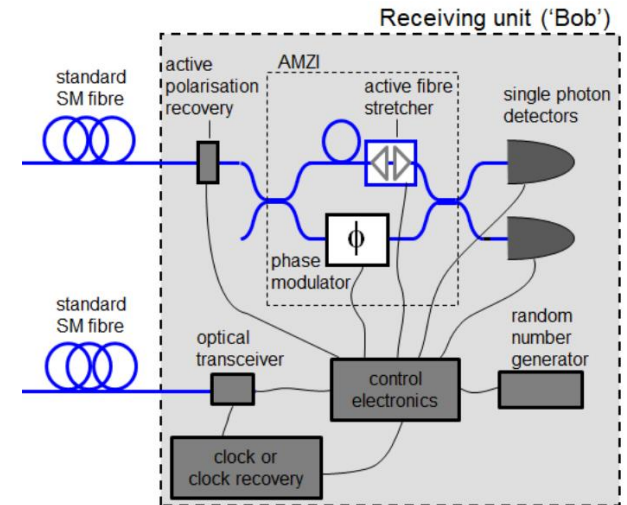
- We recall that 1ns delay is around 20cm in fiber. Pulses are usually 30ps large, a precision of 10ps is 2mm
- Also the phase reference should match: when Alice send 0 phase, constructive IF

Let's look at the phase receiver:

- Polarization recovery to fix the pol at the receiver
- When the state  $\frac{1}{\sqrt{2}} (|e\rangle + e^{i\phi} |l\rangle)$  enters in the AMZI

We have 4 possible outputs: Short-Short, Long-Long, Short-Long, Long-Short. Only last two give rise to interference

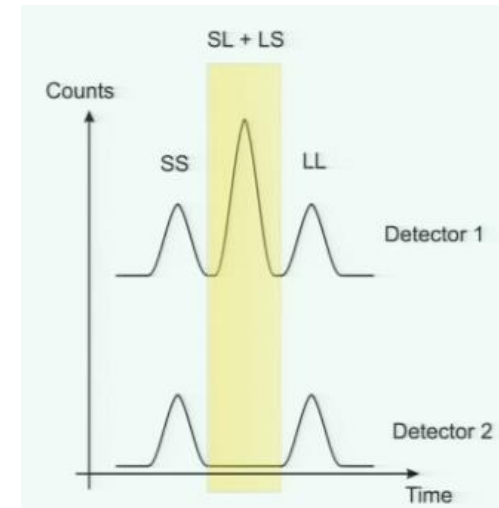
- Postselect only the central peak.
- Click in the upper detector: 0 phase, lower,  $\pi$  phase
- The PM is used to add  $\frac{\pi}{2}$  phase when measuring in the check basis



Phase receiver

## Several important points:

- Relative length difference between transmitter and receiver may change over time. Use fiber stretcher to adjust
- Relative phase may change: use a dc offset with the phase modulator to adjust the steady phase of the interferometer
- These changes are fast! Either use a second wavelength or fast data from the check basis (public)
- Active basis selection! Uses randomness and requires good synchronization!



Just a couple of words on Fiber stretcher:

When one needs to change the length of the fiber by more than one or two wavelengths, phase modulators are not suitable.

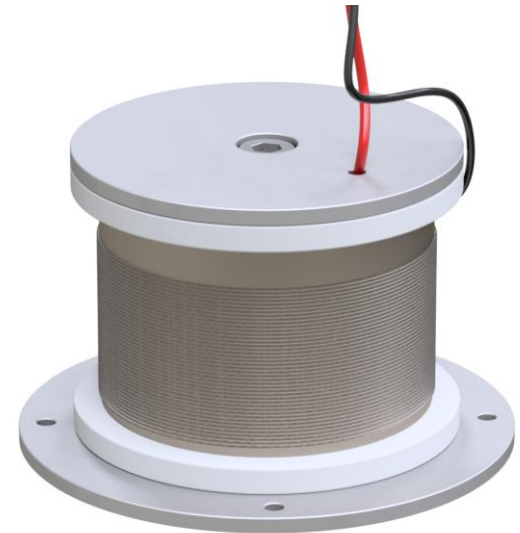
Fiber stretchers are piezoelectric elements with fiber coils attached. They physically compress or elongate the fiber, increasing the delay.

Few characteristics:

- Delay range:  $8 \pi$  up to ps
- Voltage: usually require 100V to drive (piezo)
- Speed: from few Hz up to tens of kHz
- Low loss: <1db

**Main problems:**

- Add fiber in the loop increasing the instability
- Hysteresis: after tuning the device the fiber require some time to relax, creating drifts in the phase during the transient

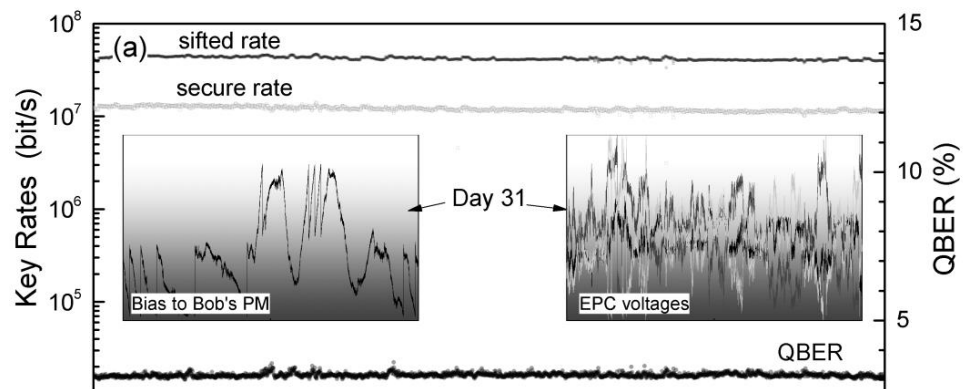
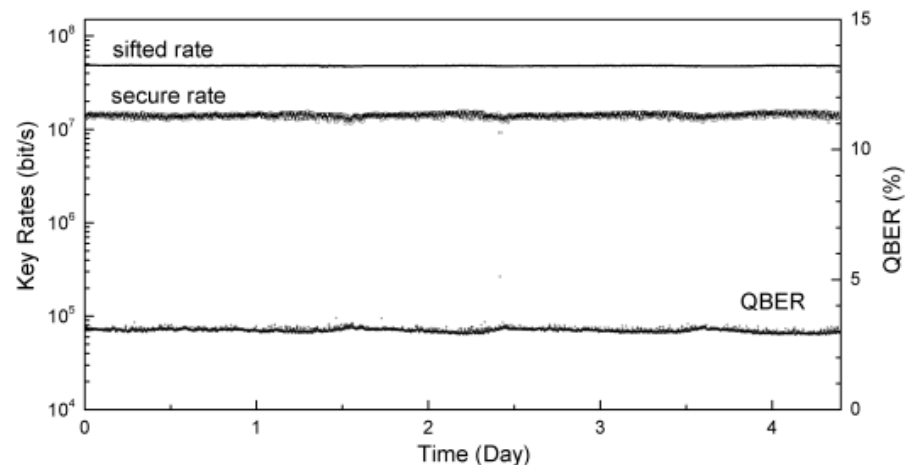


# Phase receiver typical performances



By looking at the performance over time:

- QBER is quite symmetric in the key and check basis.
- Visibilities can be quite high > 99%
- Main contribution to the QBER is the stabilization of phase and length of interferometers
- Drifts in the phase can be fast
- Typical QBER in the order of 2-4% close to saturation
- For synchronization another service channel is required
- Half of pulses lost due to no interference



Let's look at the time-bin receiver:

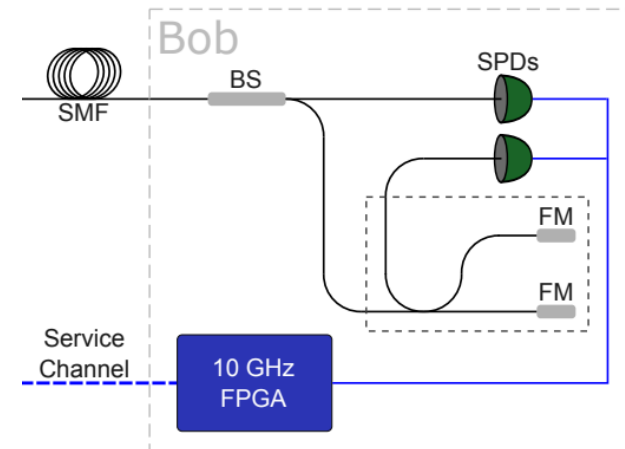
- The BS selects passively the measurement basis
- One directly sent to a SPD. Measurement in the Key Basis
- Time-measurement tells if received is  $|e\rangle$  or  $|l\rangle$
- The second branch uses a MF IF to measure the phase without the need of polarization compensation.
- In this case the relative phase is tuned using the piezo at the transmitter to have destructive IF at the detector
- Also in this case only interference peak is kept

## Several advantages:

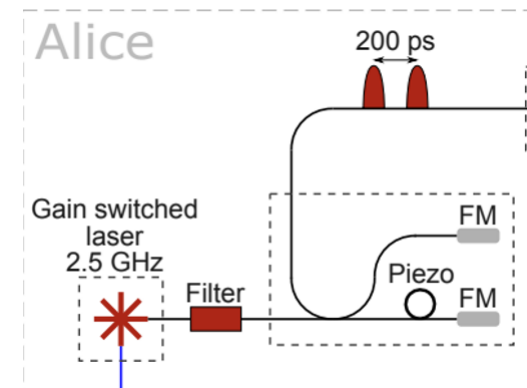
- No active polarization compensation using the FM
- Passive basis selection
- Only one interferometric measurement in the check basis
- All tuning done at the transmitter (lower losses)

## Caveats:

- With only two detectors, need to bound check events from early-early detections



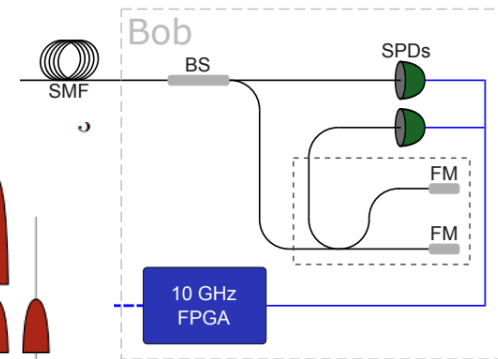
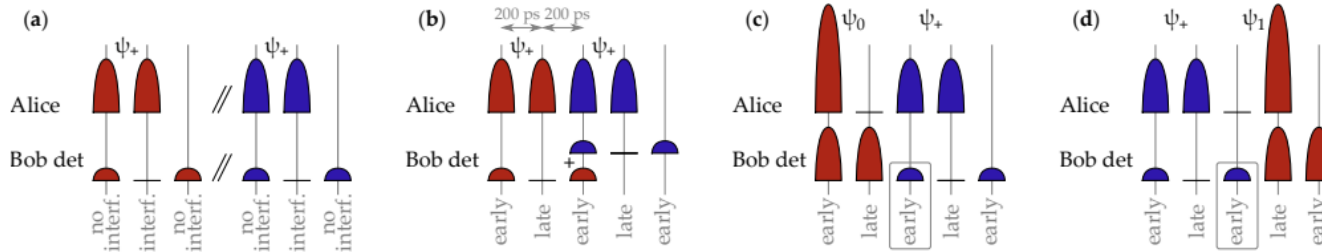
Time-bin



# Time-bin receiver with two detectors and overlap



For this specific type of time bin receiver with two detectors we have to estimate the total number of counts.



Not all the events can be used due to estimate this quantity! If pulse separation matches with repetition rate there are correlations between different pulses.

Only events where  $|0\rangle$  is followed by  $|+\rangle$  or  $|+\rangle$  is followed by  $|1\rangle$  do not carry any interference and can be used for the estimation

In the end we get  $n_X = \frac{n_{X_{\text{side}}}}{\frac{1}{4} p_Z^A}$  where  $n_{X_{\text{side}}}$  are these measured events,  $P_z$  is the probability to send a state in the Z basis. The other factor  $\frac{1}{2}$  is because half of the events are going back to the input

# Stabilization of the time-bin receiver



In the time-bin receiver the receiver interferometer needs to be stabilized over time.

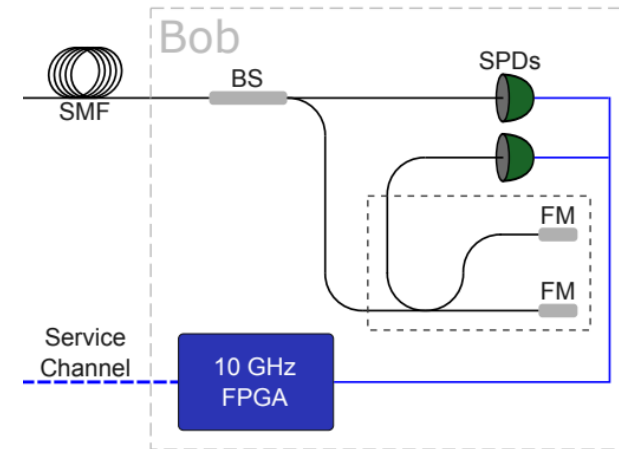
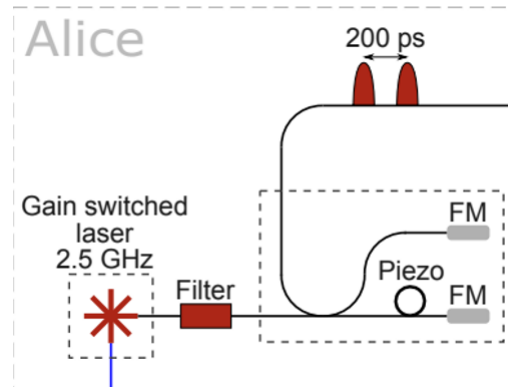
Only the Check basis needs to be stabilized and the information of the check basis is public so it can be disclosed.

However the main limitation is the time to get a sufficient statistic on from the count rates to evaluate the QBER.

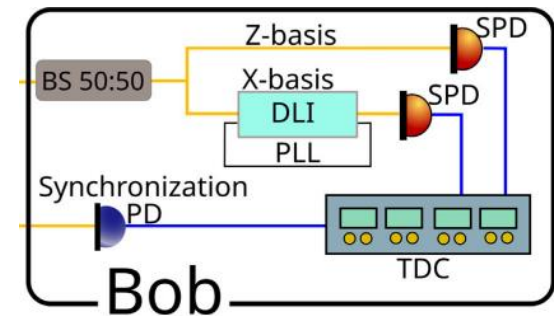
For schemes where the correction is at the transmitter we have to add the latency of the reconciliation.

Another option is to inject light at a different wavelength in the interferometer and use this wavelength to lock with a PLL the working point of the interferometer. This has to be done for the two interferometers independently.

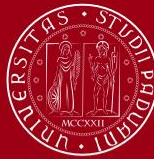
The second wavelength adds noise to the QBER



Time-bin



# Performances of time-bin receiver



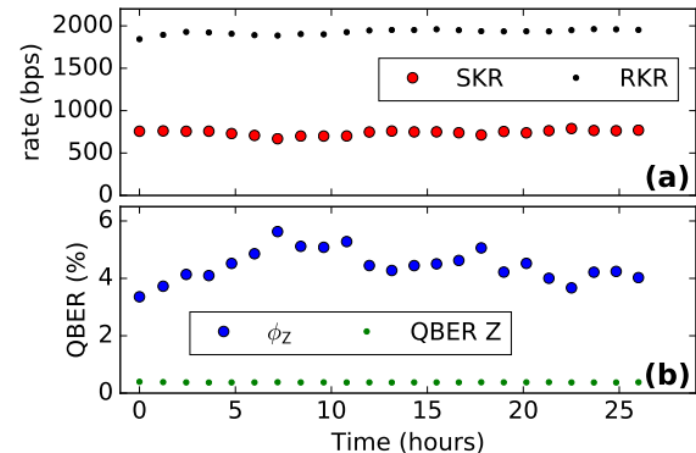
Let's look at the performances of time-bin receiver:

- Asymmetric QBER for Key and Check
- Key error way lower: main source of error finite extinction ratio of IM at the trasmitter side
- Check basis error higer and more unstable: need to perform an interferometric measurement

| length (km) | attn (dB) | QBER Z (%) | $\phi_z$ (%) | RKR (bps)        | SKR (bps)         |
|-------------|-----------|------------|--------------|------------------|-------------------|
| 251.7       | 42.7      | 0.5        | 2.2          | $12 \cdot 10^3$  | $4.9 \cdot 10^3$  |
| 302.1       | 51.3      | 0.4        | 3.7          | $1.9 \cdot 10^3$ | $0.79 \cdot 10^3$ |
| 354.5       | 60.6      | 0.7        | 1.8          | 117              | 62                |
| 404.9       | 69.3      | 1.0        | 4.3          | 17               | 6.5               |
| 421.1       | 71.9      | 2.1        | 12.8         | 2.3 (4.5*)       | 0.25 (0.49*)      |

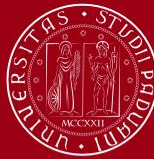
## Caveats:

- Need to wait for the reconciliation to perform the stabilization on the phase of the trasmitter interferometer
- Still need to throw away some data not in the interference peak for the check basis

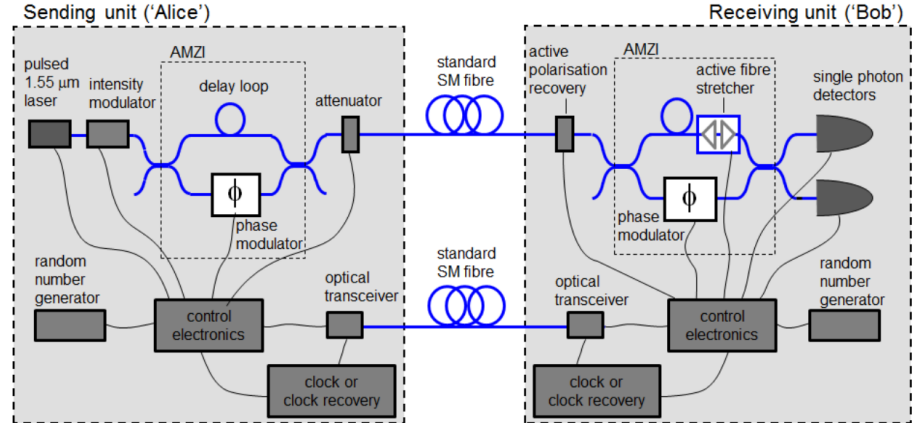




# Bandwidth and latency considerations



In a real QKD protocol we have to take into account some considerations about required bandwidth and latency



## At the transmitter side:

- **QRNG:** need at least 1 bit for state, 1 bit for basis, 1 or 2 for decoy. If biasing 2 bits of overhead: at least 5bits for each state @1GHz = 5Gbps of stream
- **Memory:** these data must be kept in memory until bob tells the click. More latency -> more storage

## At the receiver side:

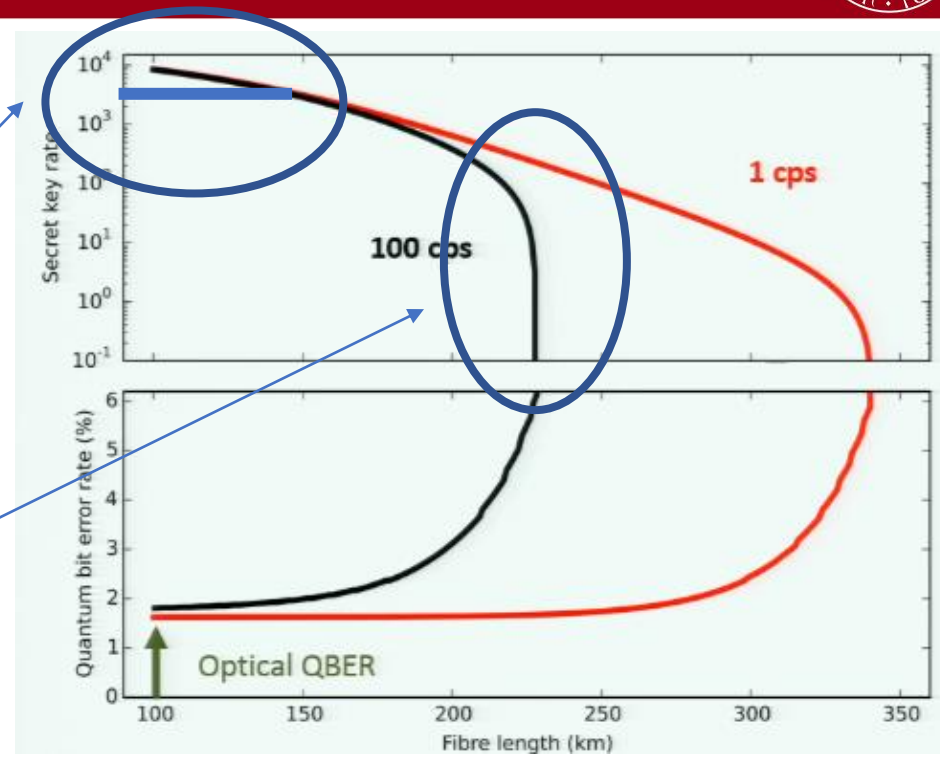
- SPAD: saturation at 100kHz if not RF gated
- 100 Mbps if RF gated
- SNSPD can go higher
- TimeTagger saturation: 300Mtags/s
- Typical ER/PA saturates at 10Mbps, more need to offload

# SKR and detectors



Let's look at the typical SKR and QBER graph as function of losses

- At low losses SKR limited by QBER and max count rate
- Saturation effect either due to the detector/tagging on post-processing
- At intermediate loss, we have linear scaling with loss
- At high loss sharp knee! Depends a lot on dark count and errors! Why?



$$\text{The signal: } S \approx \eta f_{max} \approx \frac{\eta}{\Delta t}$$

$$\text{Noise: } N \approx dcr \Delta t$$

$$\text{SNR} = \approx \eta dcr$$

Main limitation to the max distance is given by the errors and dark count of the detector!

SNSPD used for almost all long range demo!

## Secure Quantum Key Distribution over 421 km of Optical Fiber


Alberto Boaron,<sup>1,\*</sup> Gianluca Boso,<sup>1</sup> Davide Rusca,<sup>1</sup> Cédric Vulliez,<sup>1</sup> Claire Autebert,<sup>1</sup> Misael Caloz,<sup>1</sup> Matthieu Perrenon

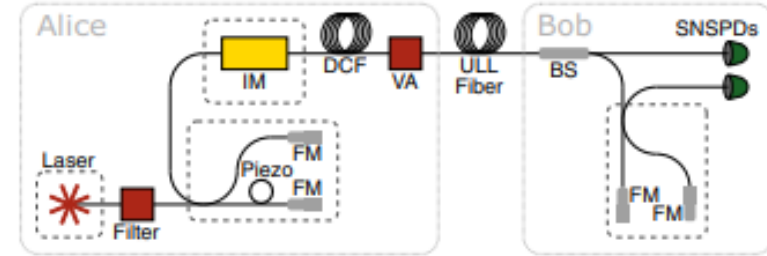
Gaëtan Gras,<sup>1,2</sup> Félix Bussi eres,<sup>1</sup> Ming-Jun Li,<sup>3</sup> Daniel Nolan,<sup>3</sup> Anthony Martin,<sup>1</sup> and Hugo Zbinden<sup>1</sup>

<sup>1</sup>Group of Applied Physics, University of Geneva, Chemin de Pinchat 22, 1211 Geneva 4, Switzerland

<sup>2</sup>ID Quantique SA, Chemin de la Marbrerie 3, 1227 Carouge, Switzerland

<sup>3</sup>Corning Incorporated, Corning, New York 14831, USA

 (Received 10 July 2018; published 5 November 2018)



Before the experimental implementations of Twin-Field QKD this was the longest QKD experiment that was demonstrated. Even longer than MDI-QKD (404km)

### What is the key point to achieve such long distance over the fiber?

- The experimental setup was simple and led to low but not exceptionally low QBER
- High repetition rate helped to get higher SKR but not the distance
- Detection efficiency? Only 40-60% with SNSPD: nice but not ground breaking
- **0,1Hz of DCR and 40ps jitter**

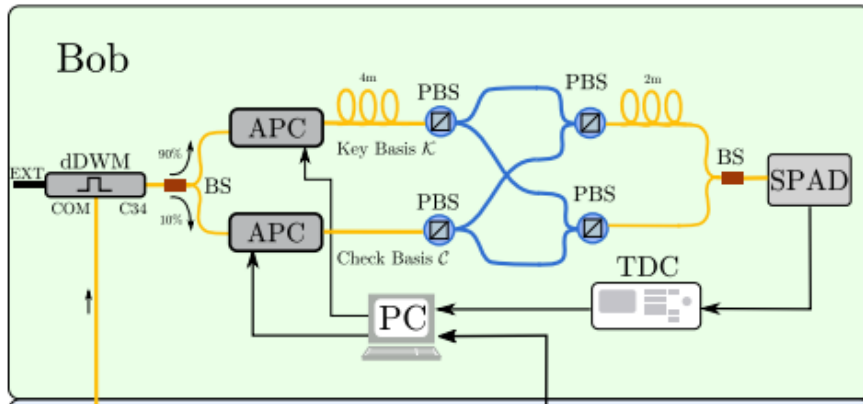
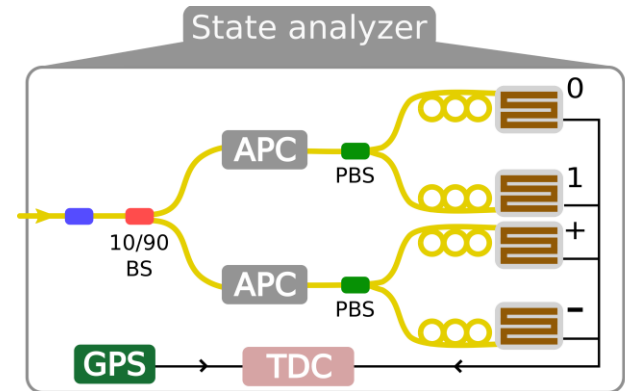
the detector. The black-body radiation around the laser wavelength (1550.92 nm) is eliminated using a standard 200 GHz fibered dense wavelength division multiplexer bandpass filter cooled to 40 K. Infrared light above 1550 nm is filtered by coiling the optical fiber just before the detector [13]. In this way, we achieve a dark count rate (DCR) of 0.1 Hz, which is close to the intrinsic DCR of the detectors. The maximum efficiencies of our detectors are

# Polarization receivers

# Polarization receivers

Typical solution employs a

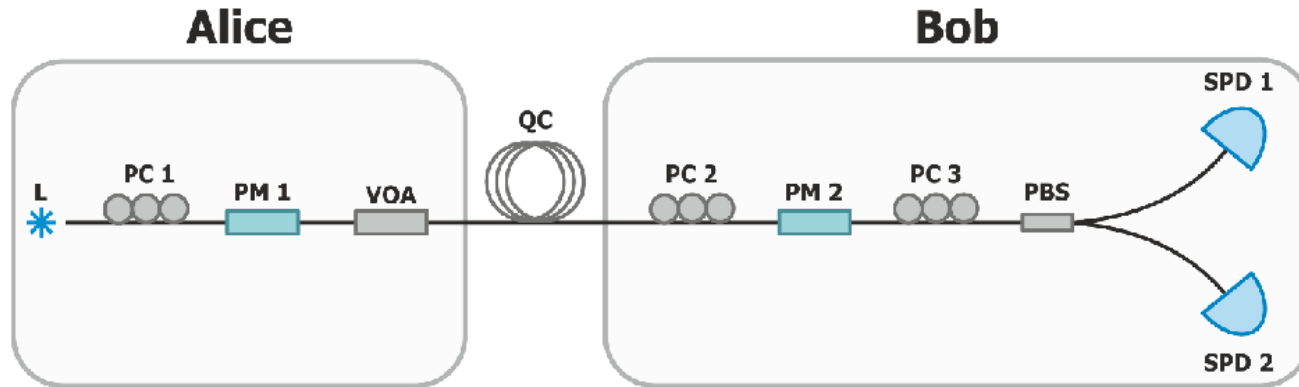
- BS for a passive choice of the basis,
- two Automatic polarization controller to compensate for the drift introduced by SMF fiber
- Two PBS for the polarization measurement aligned one on the Key and one on the check basis using the APC
- Four Single Photon Detectors for the detection



To reduce the number of detectors is possible to perform polarization and time multiplexing at the expense of additional 3db of losses and a factor 4 on the max countrate

# Active Polarization receivers

Another option is to use an active receiver where the selection of the basis is performed in real time using a polarization modulator at the receiver side



This configuration allows:

- Reduce the number of detector to 2 without penalty loss from multiplexing

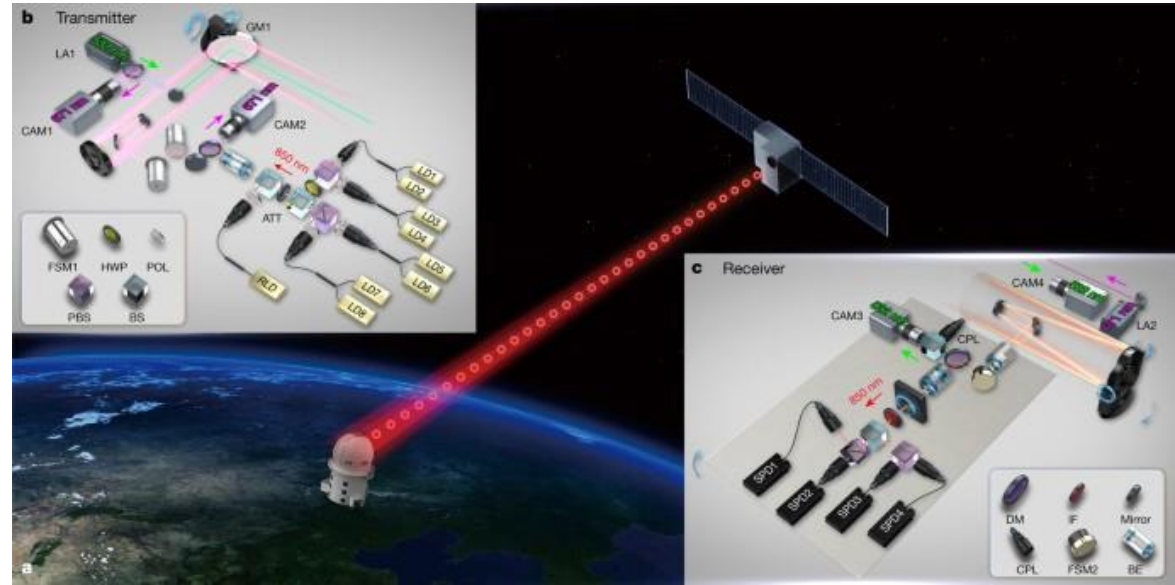
However:

- More losses introduced by the polarization modulator
- Require active measurement -> randomness
- Requires better synchronization at the receiver side

# Polarization and free-space/ satellite links



Polarization is mostly used in free-space and satellite links



- Air doesn't have a significant birefringence, so polarization is preserved
- Due to the Doppler effect satellites add an additional phase to the signal. Need to compensate in the case of time-bin QKD

All free-space transmitter and receivers can potentially be alignment-free after first calibration  
For satellite still need to compensate for the rotation of the satellite



# Thank you for the attention!

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ThinKQUANTUM|



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DI PADOVA